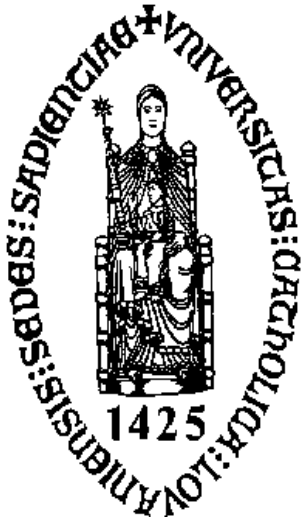


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# Design of crystal oscillators



**Willy Sansen**

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[willy.sansen@esat.kuleuven.be](mailto:willy.sansen@esat.kuleuven.be)



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---

- ◆ **Oscillation principles**

- ◆ **Crystals**

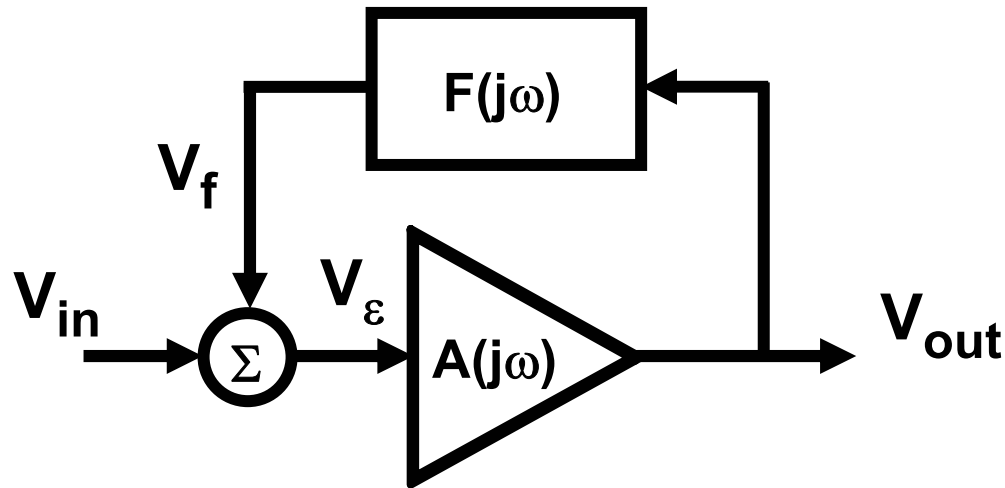
- ◆ **Single-transistor oscillator**

- ◆ **MOST oscillator circuits**

- ◆ **Bipolar-transistor oscillator circuits**

- ◆ **Other oscillators**

# The Barkhausen criterion



$$V_{\text{out}} = A(j\omega) V_{\varepsilon}$$

$$V_f = F(j\omega) V_{\text{out}} \\ = F(j\omega) A(j\omega) V_{\varepsilon}$$

$$\frac{V_f}{V_{\varepsilon}} = A(j\omega) F(j\omega)$$

Oscillation if  $V_{\text{in}} = 0$  or if  $\left| \frac{V_f}{V_{\varepsilon}} \right| = |A(j\omega)| |F(j\omega)| \geq 1.0$   
**Positive FB !**

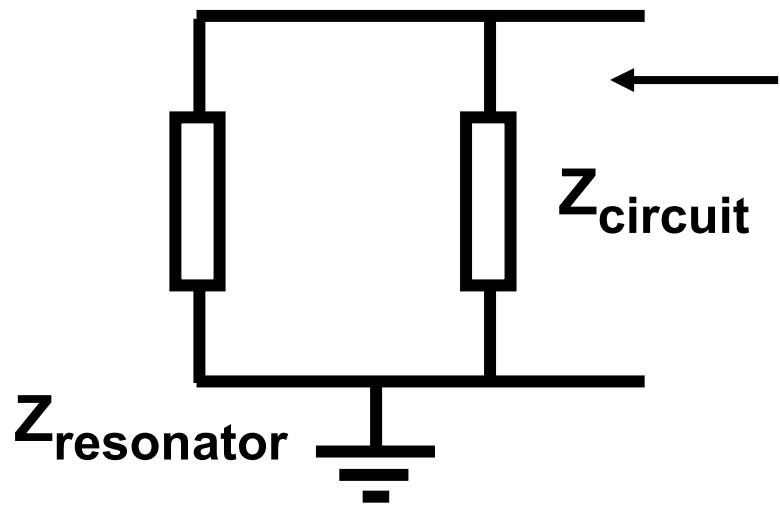
$$\left\{ \frac{V_f}{V_{\varepsilon}} \right\} = \Phi_A + \Phi_F = 0^\circ$$

Ref. Barkhausen, Hirzel, Leipzig, 1935

---

# Split analysis

---



$$Y_{\text{res}} + Y_{\text{circ}}$$

$$Y_{\text{res}} + Y_{\text{circ}} = 0$$

$$\frac{1}{Z_{\text{res}}} + \frac{1}{Z_{\text{cir}}} = 0$$

$$\frac{Z_{\text{circ}} + Z_{\text{res}}}{Z_{\text{res}} Z_{\text{circ}}} = 0$$

Oscillation if  $\text{Re}(Z_{\text{circ}} + Z_{\text{res}}) = 0$  sets the minimum gain !

$\text{Im}(Z_{\text{circ}} + Z_{\text{res}}) = 0$  sets the frequency !

---

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- ◆ Oscillation principles

- ◆ Crystals

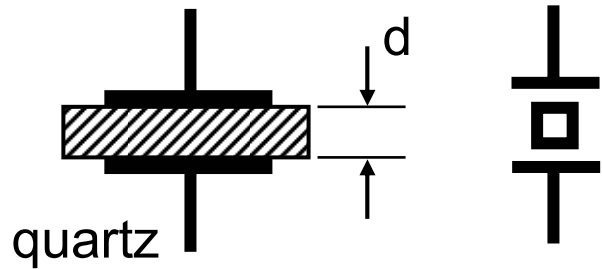
- ◆ Single-transistor oscillator

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# Crystal as resonator

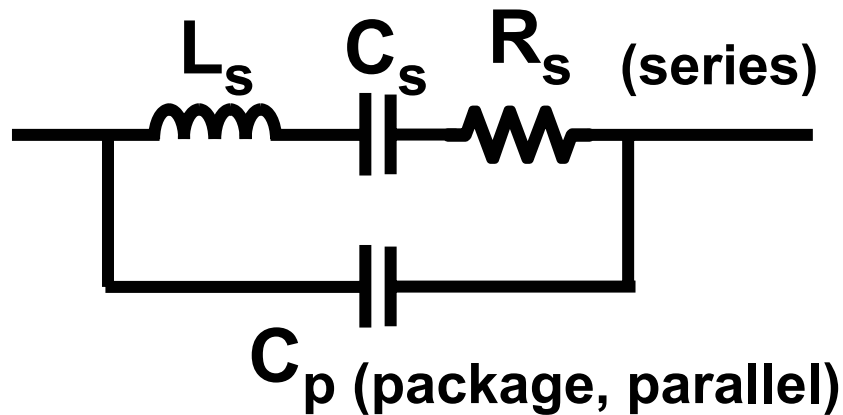


$$f_s = \frac{1.66}{d}$$

$f_s$  in MHz if  $d$  in mm

$$C_p = A \frac{\epsilon_0 \epsilon_r}{d}$$

$\epsilon_r \approx 4.5$

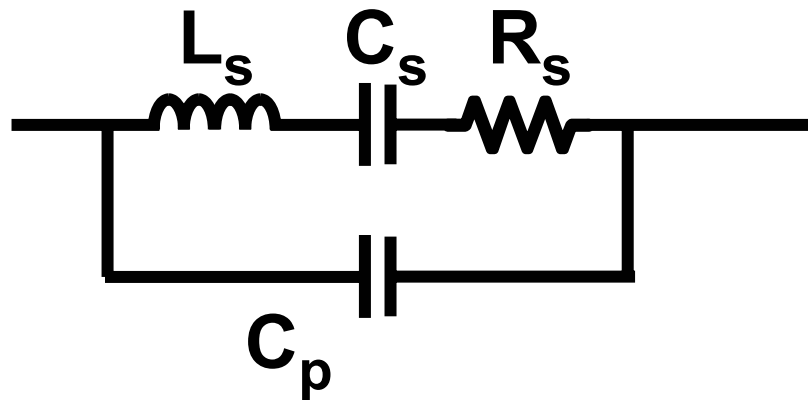


$$\omega_s^2 = \frac{1}{L_s C_s} \quad f_s = \frac{1}{2\pi \sqrt{L_s C_s}}$$

$$L_s \omega_s = \frac{1}{C_s \omega_s} \quad Q \omega_s = \frac{1}{R_s C_s}$$

$$Q = \frac{1}{R_s} \sqrt{\frac{L_s}{C_s}} \quad R_s = \frac{1}{Q C_s \omega_s}$$

# Crystal parameters



Xtal :  $f_s = 10.000 \text{ MHz}$

$$Q = 10^5$$

$$C_s = 0.03 \text{ pF}$$

$$C_p \approx 6 \text{ pF} (\approx 200 C_s)$$

$$L_s \omega_s = \frac{1}{C_s \omega_s} \quad L_s \approx 8.4 \text{ mH}$$

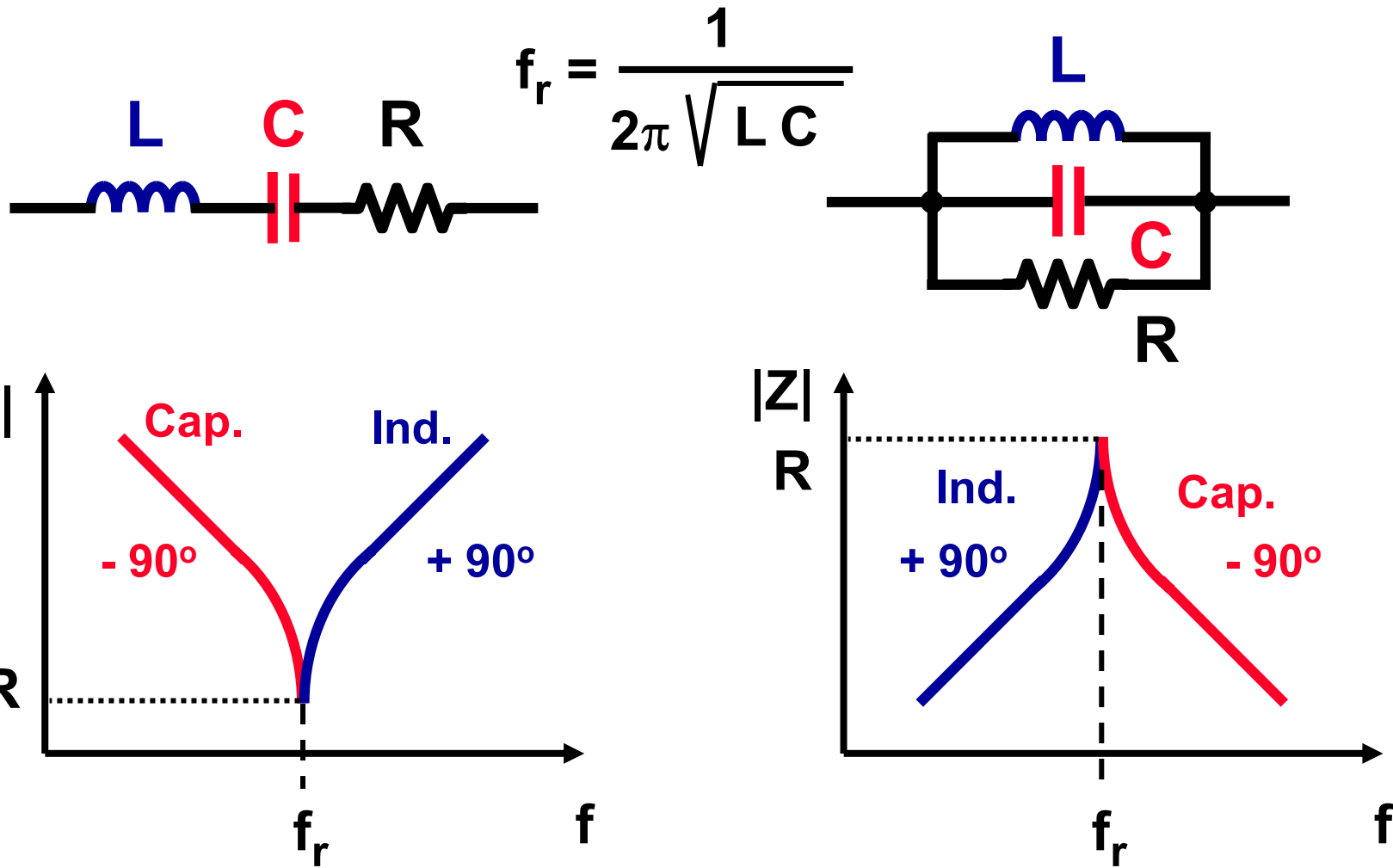
$$R_s = \frac{1}{Q C_s \omega_s} = 5.3 \Omega$$

$f_s$	$L_s$	$C_s$	$R_s$	$C_p$	$Q$
100.0 kHz	52 H	49 fF	400 $\Omega$	8 pF	$0.8 \cdot 10^5$
1.000 MHz	2 H	6 fF	24 $\Omega$	3.4 pF	$5.3 \cdot 10^5$
10.00 MHz	10 mH	26 fF	5 $\Omega$	8.5 pF	$1.2 \cdot 10^5$

---

# Series and parallel resonance

---



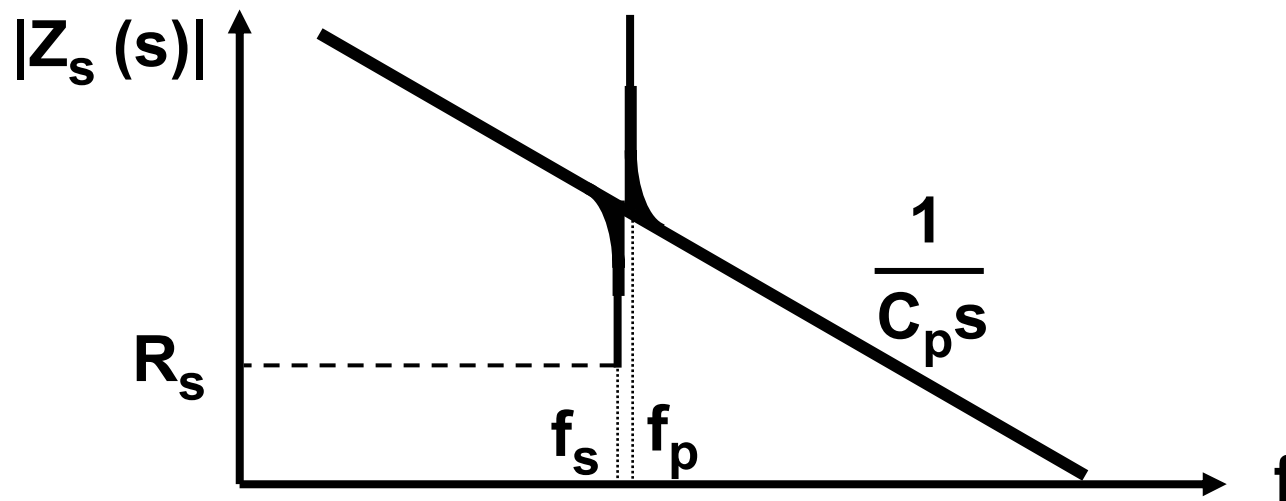


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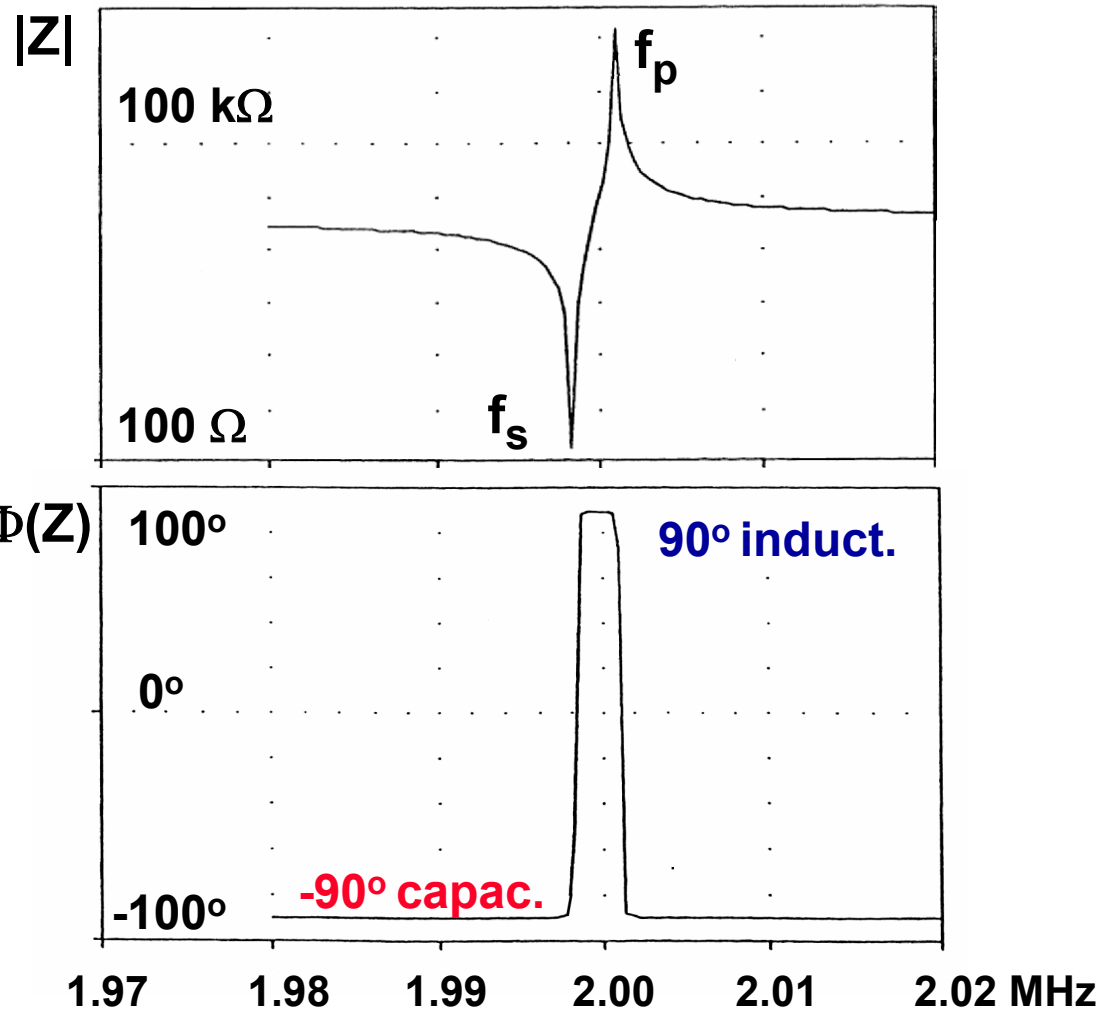
# Crystal impedance

---

$$Z_s(s) = \frac{s^2 L_s C_s + s R_s C_s + 1}{s (C_s + C_p) \left( s^2 \frac{L_s C_s C_p}{C_s + C_p} + s \frac{R_s C_s C_p}{C_s + C_p} + 1 \right)}$$



# Crystal impedance at resonance



$$f_s = 1.998 \text{ MHz}$$

$$C_s = 12.2 \text{ fF}$$

$$L_s \approx 0.52 \text{ H}$$

$$C_p = 4.27 \text{ pF}$$

$$R_s = 82 \Omega$$

Crystal operates in inductive region if circuit is capacitive !

---

# Series and parallel resonance

---

$$Z_s(\omega) = \frac{-j}{\omega C_p} \frac{\omega^2 - \omega_s^2}{\omega^2 - \omega_p^2} \quad \omega_s^2 = \frac{1}{L_s C_s} \quad \omega_p^2 = \frac{1}{L_s} \left( \frac{1}{C_p} + \frac{1}{C_s} \right)$$

**series** **parallel**

$$Z_s(\omega) = R_s + j\omega L_s + \frac{1}{j\omega C_s}$$

$$Z_s(\omega) = R_s + \frac{j}{\omega_s C_s} \left( \frac{\omega}{\omega_s} - \frac{\omega_s}{\omega} \right)$$

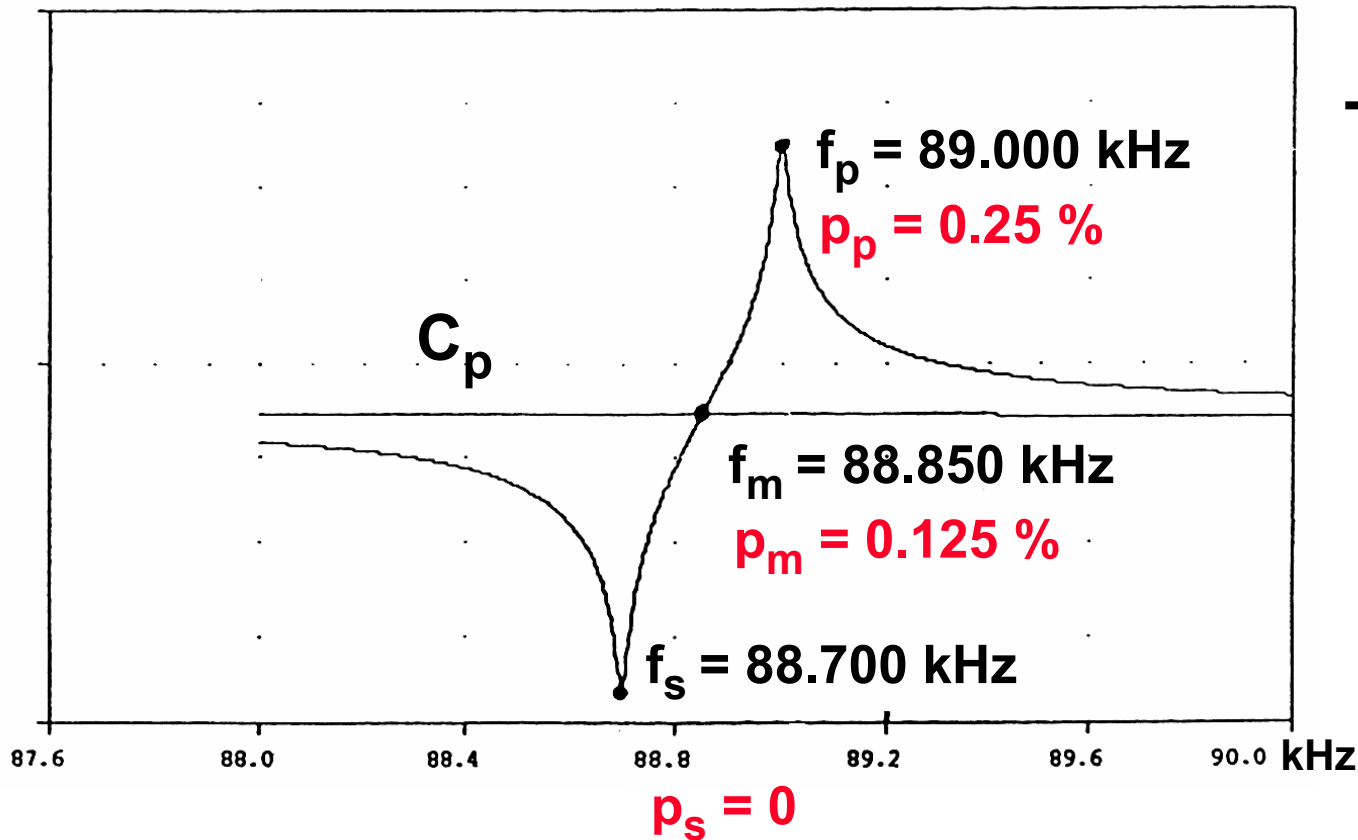
**Frequency pulling factor**

$$p = \frac{\omega - \omega_s}{\omega_s}$$

$$Z_s(\omega) \approx R_s + j \frac{2p}{\omega C_s}$$

**Ref. Vittoz, JSSC June 88, 774-783**

# Series or parallel resonance ?



$$\frac{f_p}{f_s} = \sqrt{1 + \frac{C_s}{C_p}}$$

$$\approx 1 + \frac{C_s}{2C_p}$$

$$p_p = \frac{f_p - f_s}{f_s}$$

$$\frac{C_s}{2C_p} = 0.25 \%$$

$$p_m = \frac{f_m - f_s}{f_s} = \frac{C_s}{4C_p} = 0.125 \%$$

---

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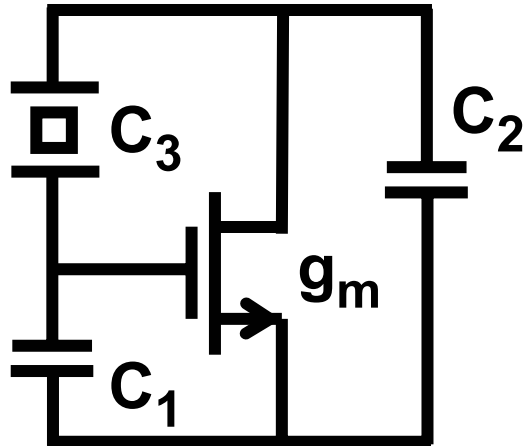
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- ◆ Oscillation principles
- ◆ Crystals
- ◆ **Single-transistor oscillator**
- ◆ MOST oscillator circuits
- ◆ Bipolar-transistor oscillator circuits
- ◆ Other oscillators

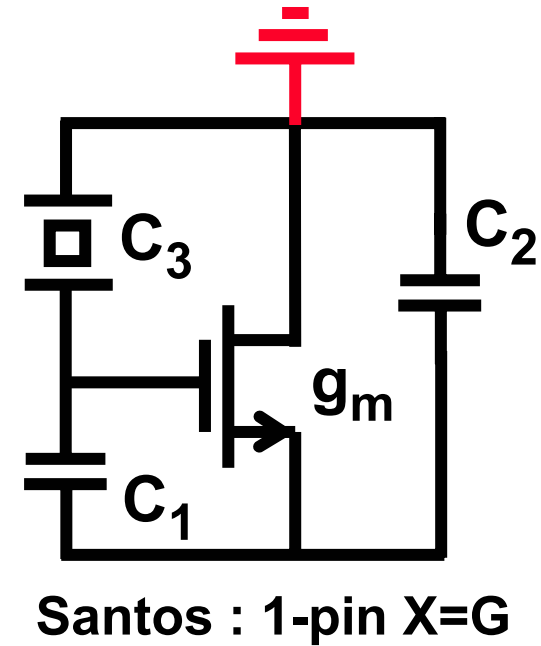
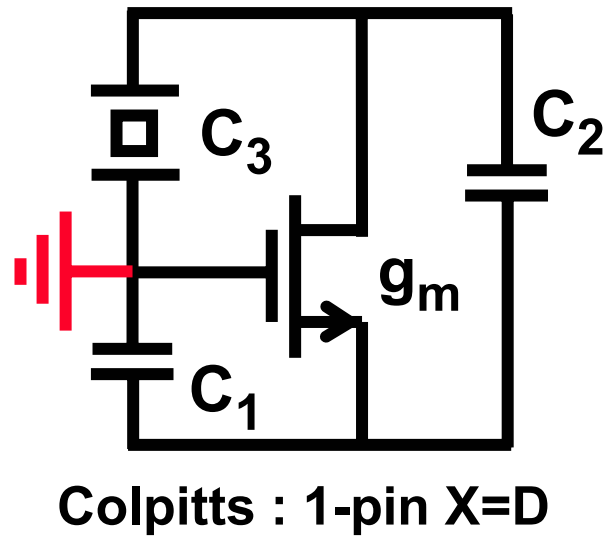
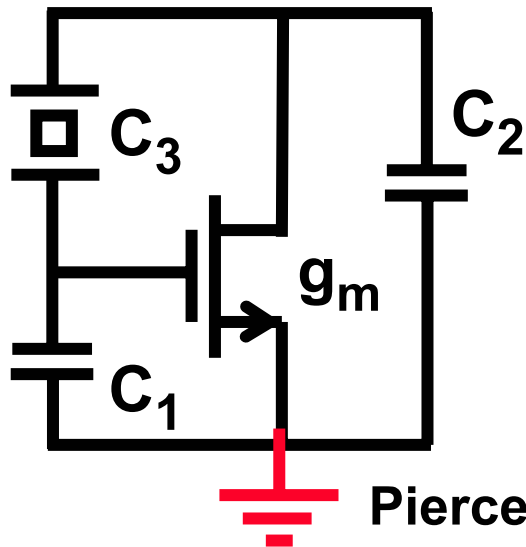
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# Single-transistor X-tal oscillator

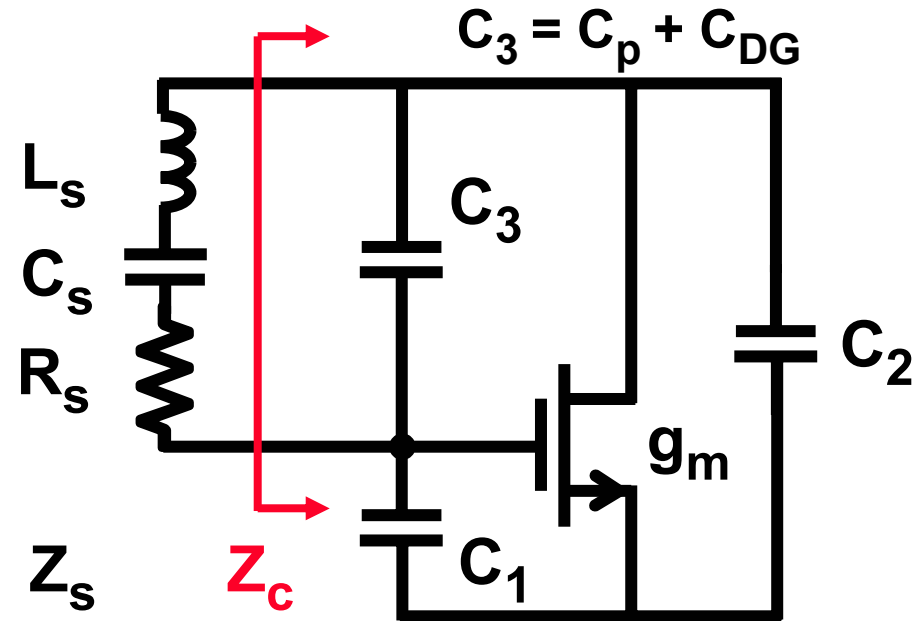
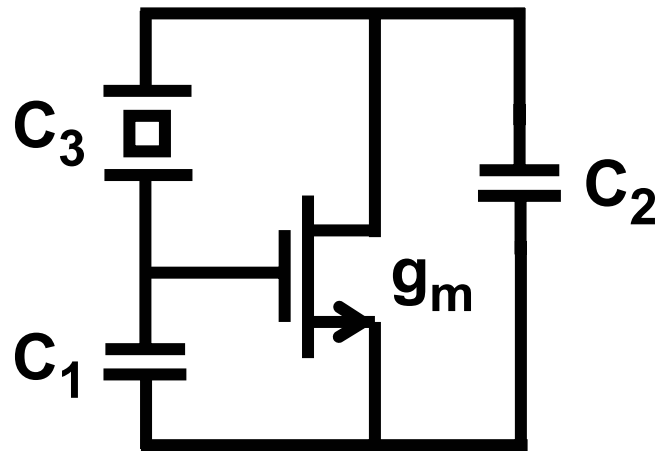
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**Basic three-point oscillator**



# Single-transistor X-tal oscillator analysis



Barkhausen :  $Z_s + Z_c = 0$

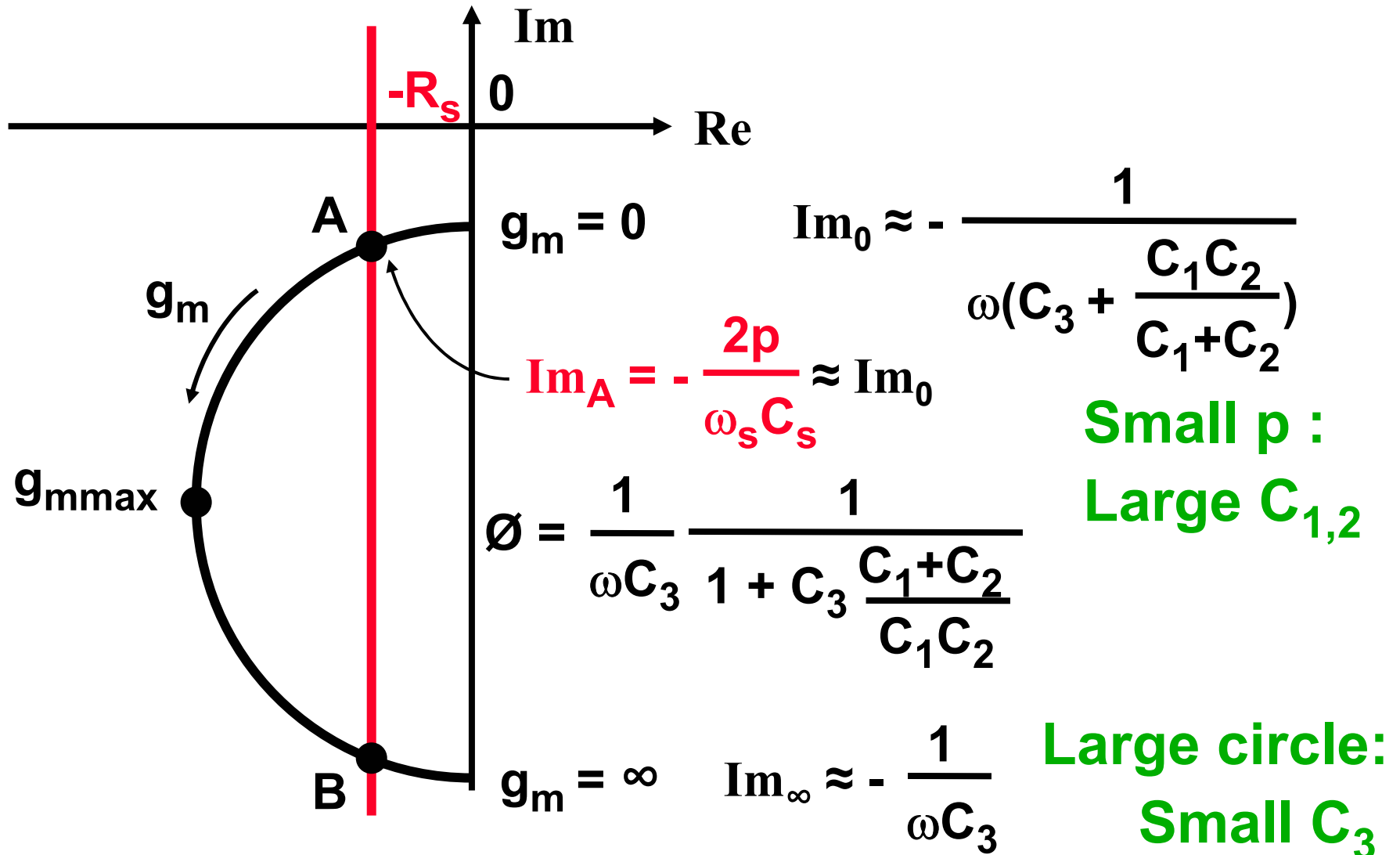
$$Z_s = R_s + j \frac{2p}{\omega C_s}$$

$\text{Re}(Z_c) = -R_s$  yields  $g_m$

$\text{Im}(Z_c) = -\frac{2p}{\omega C_s}$  yields  $f$  or  $p$

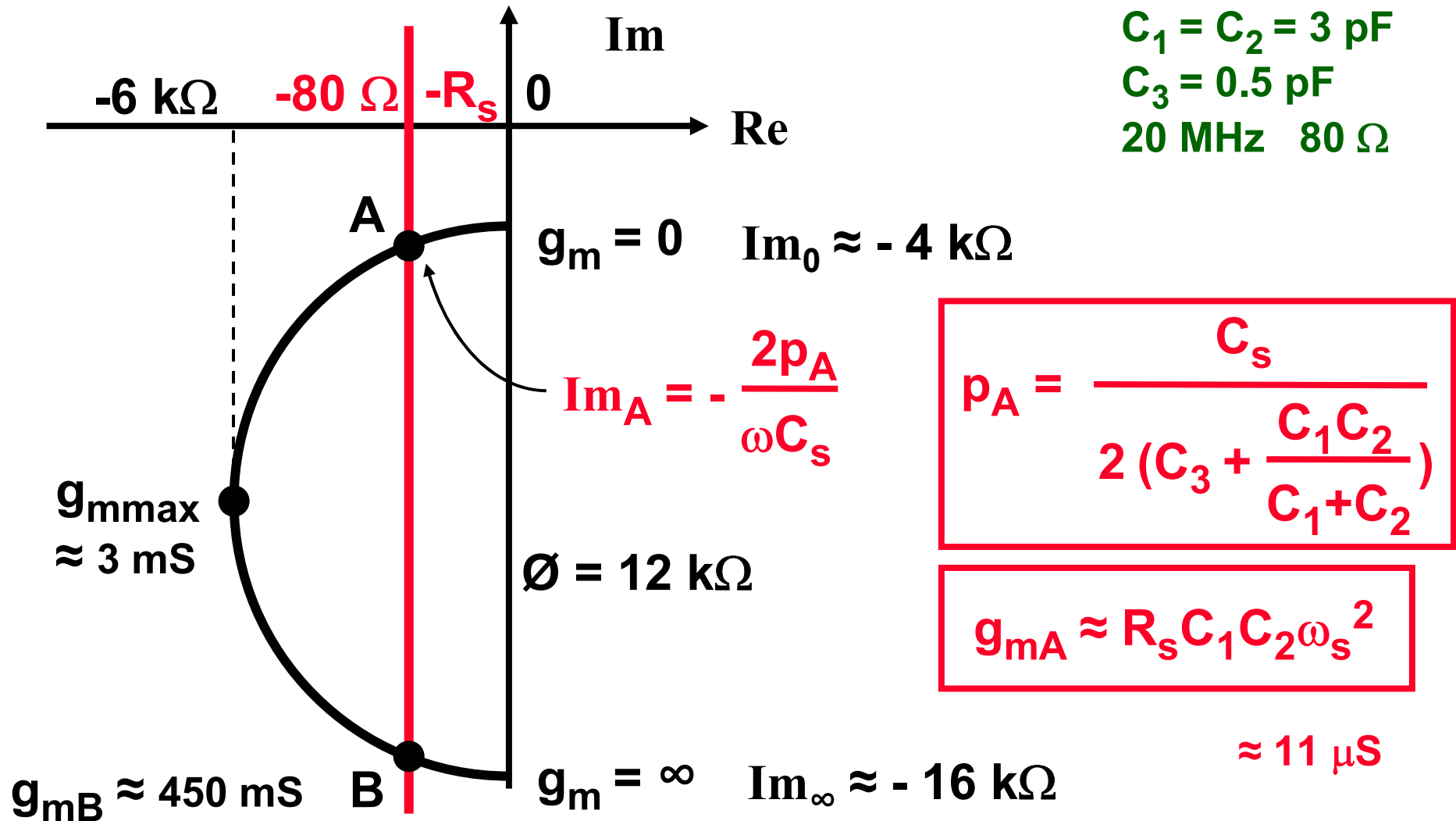
Ref. Vittoz, JSSC June 88, 774-783

# Complex plane for 3-pt oscillator : Design crit.

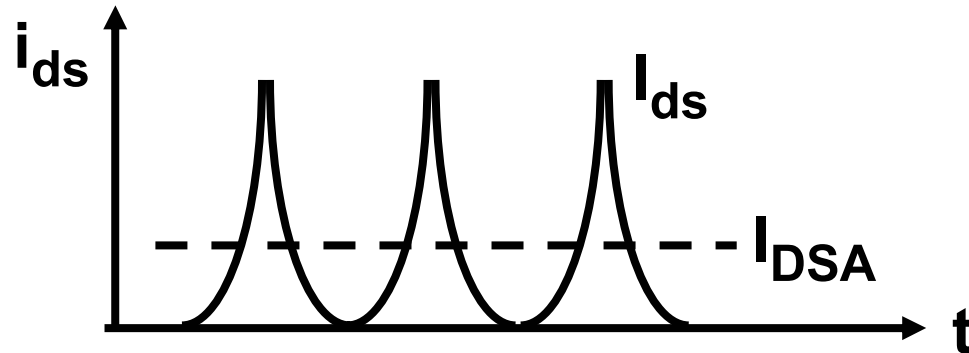




# Complex plane for 3-pt oscillator : Example



# Amplitude of oscillation



$$V_{gs} = \frac{I_{ds}}{g_{mA}} = \frac{I_{ds}}{I_{DSA}} \frac{I_{DSA}}{g_{mA}}$$

$\frac{I_{ds}}{I_{DSA}}$  Nonlinear (Bessel)  
More spiked for  
higher  $C_{1,2}$  !!!

$$\frac{2}{\pi} \dots 2 \frac{V_{GS} - V_T}{2}$$

$$V_{gs} \approx$$

$$V_{GS} - V_T$$

$$\text{or } 2n \frac{kT}{q} \text{ in } w_i$$

**Large !**

---

# Start-up of oscillation

---

$\tau_{\min}$  occurs at  $g_m \approx g_{m\max}$

$$\tau_{\min} = \frac{L_s}{\operatorname{Re}(Z_s) + R_s}$$

$\operatorname{Re}(Z_s)$  is half circle  $\emptyset$

$$\operatorname{Re}(Z_s) = \frac{1}{2} \frac{1}{\omega_s C_3} \quad \text{if } C_3 \ll C_1$$

$$R_s \ll \operatorname{Re}(Z_s)$$

$$\tau_{\min} \approx \frac{2 C_3}{\omega_s C_s} \approx \frac{400}{\omega_s} \quad \text{since } C_3 \approx 200 C_s$$

or also  $\tau_{\min} \approx 2Q R_s C_3$

---

# Power dissipation

---

In MOST :  $g_{mA} \approx \omega_s^2 R_s C_1 C_2 \approx R_s (C_1 \omega_s)^2$

$$I_{DSA} \approx g_{mA} \frac{V_{GS} - V_T}{2} \approx 2 \mu A \implies 6 \mu W$$

In X-tal :  $I_c = \frac{V_{gs}}{Z_{C1}} = |V_{gs}| C_1 \omega_s \approx |V_{GS} - V_T| C_1 \omega_s$

$$P_c = \frac{R_s I_c^2}{2} = \frac{R_s}{2} |V_{GS} - V_T|^2 (C_1 \omega_s)^2$$

$$= |V_{GS} - V_T|^2 \frac{g_{mA}}{2} \approx 0.2 \mu W$$

---

# Design procedure for X-tal oscillators - 1

---

**X-tal** :  $f_s$   $f_p$   $R_s$   $C_p$  (or  $f_s$   $Q$   $C_s$   $C_p$ ) ( $Q = 1/ \omega_s C_s R_s$ )

1. Take :  $C_3 > C_p$  but as small as possible

$$\text{Pulling factor } p = \frac{1}{2} \frac{C_s}{C_3 + \frac{C_1 C_2}{C_1 + C_2}} \approx \frac{1}{2} \frac{C_s}{C_L} \quad C_L = \frac{C_1}{2} = \frac{C_2}{2}$$

If  $p < \frac{C_s}{4C_p}$  it is a series oscillator (best !)

If  $p > \frac{C_s}{4C_p}$  it is a parallel oscillator (not stable !)

**Choose  $C_L$  large ( $> C_3$ ), subject to power dissipation !**

---

## Design procedure for X-tal oscillators - 2

---

2. Calculate  $g_{mA} \approx R_s C_L^2 \omega_s^2$  ( $\approx \frac{\omega_s}{C_s Q} C_L C_L$ )  
and take  $g_{mStart} \approx 10 g_{mA}$

3. Choose  $V_{GS} - V_T$ , which gives the amplitude  $V_{gs}$

and current  $I_{DS} = \frac{g_m(V_{GS} - V_T)}{2}$  and  $\frac{W}{L}$

and power  $P = (V_{GS} - V_T)^2 \frac{g_m}{2}$

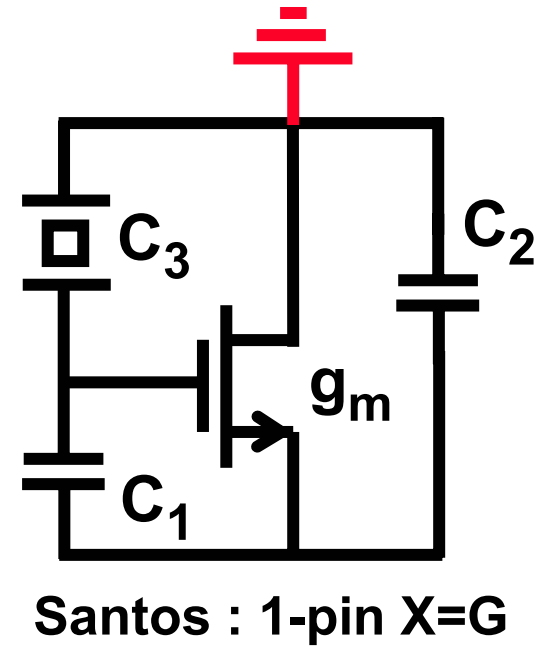
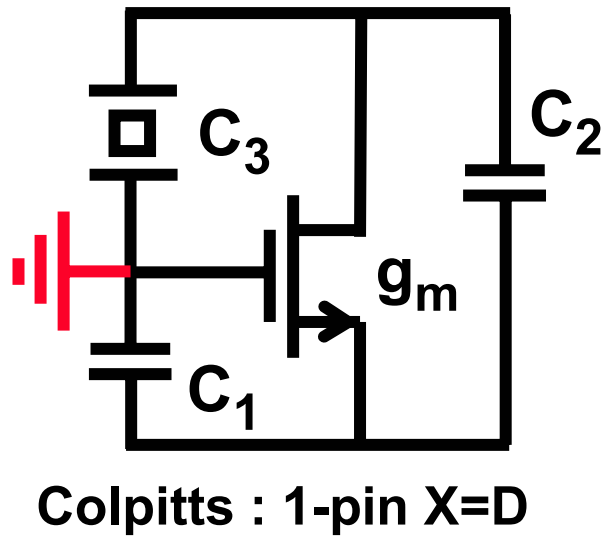
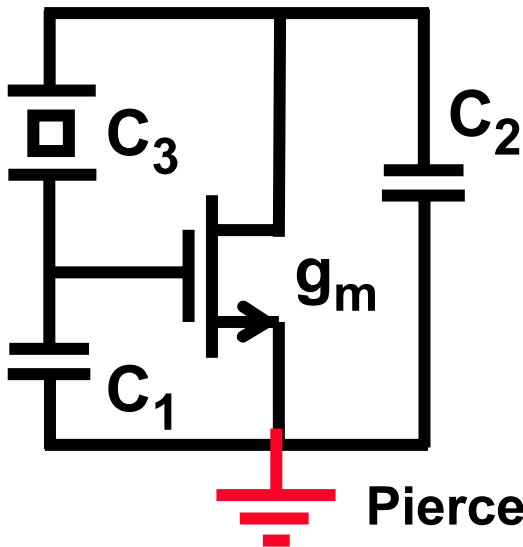
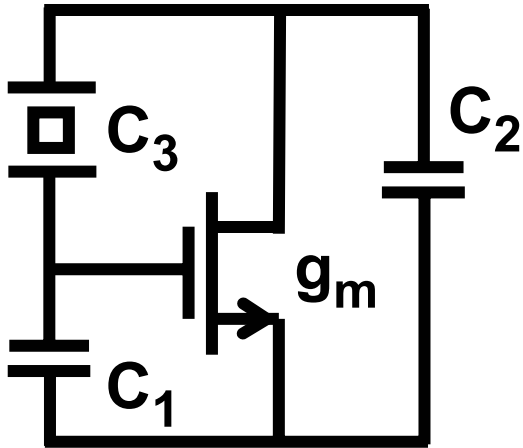
4. Verify that biasing  $R_B > 1 / (R_s C_3^2 \omega_s^2)$

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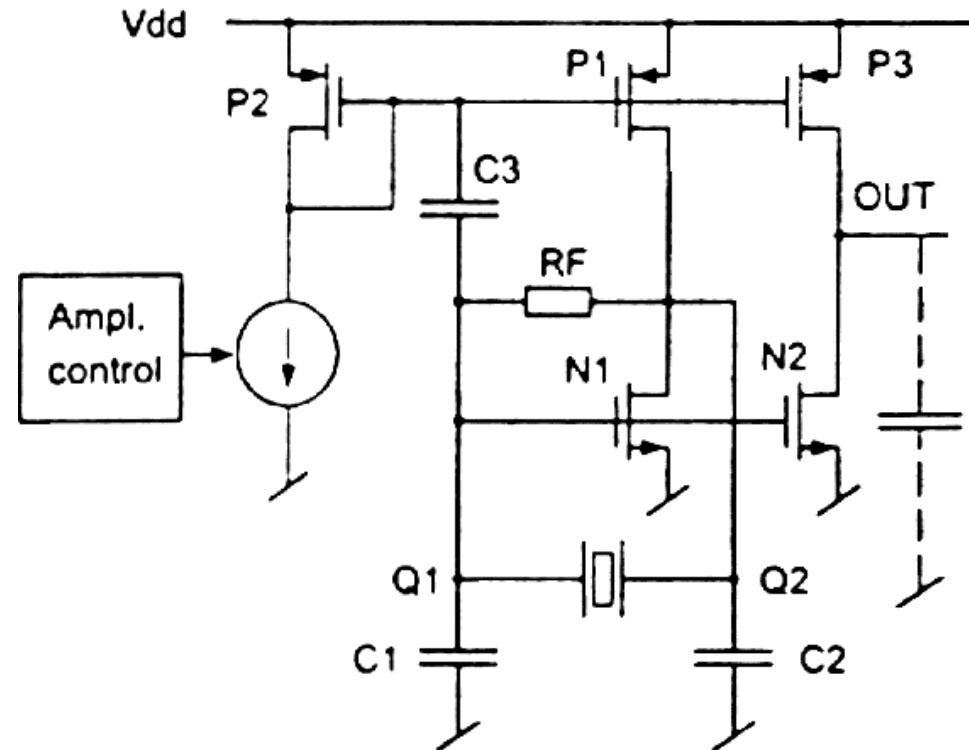
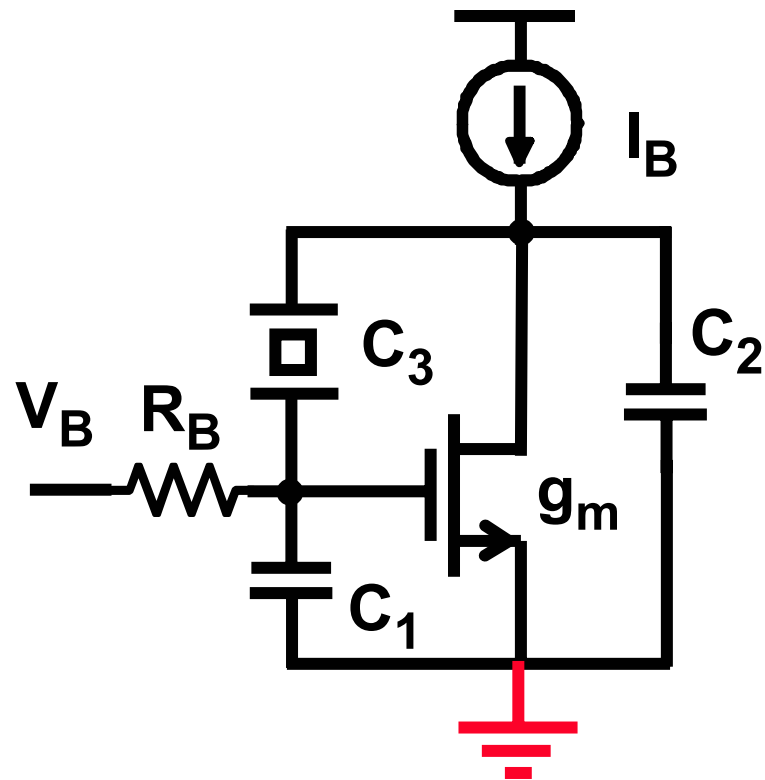
# Single-transistor X-tal oscillator

---

## Basic three-point oscillator



# Pierce X-tal oscillator



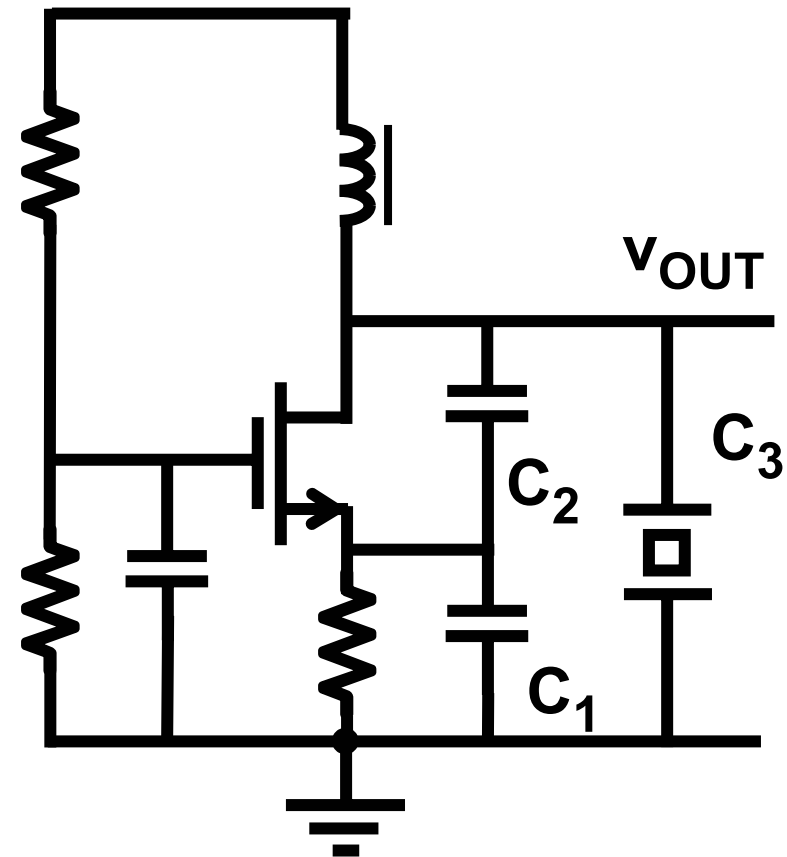
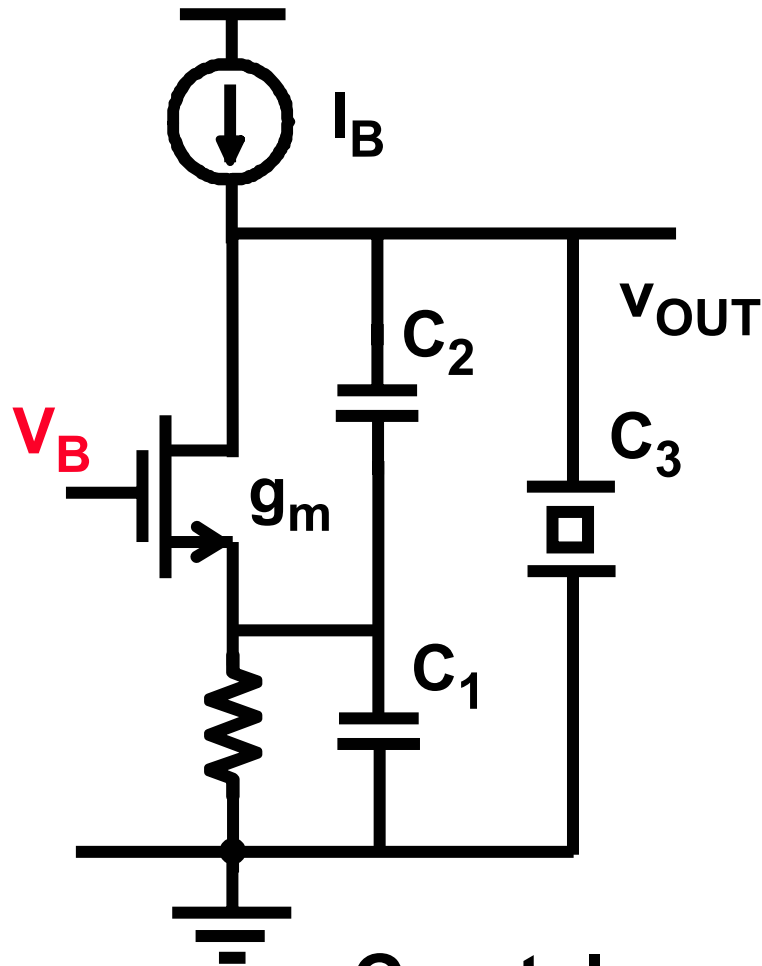
32 kHz 1.2 V 78 nA



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# Colpitts X-tal oscillator

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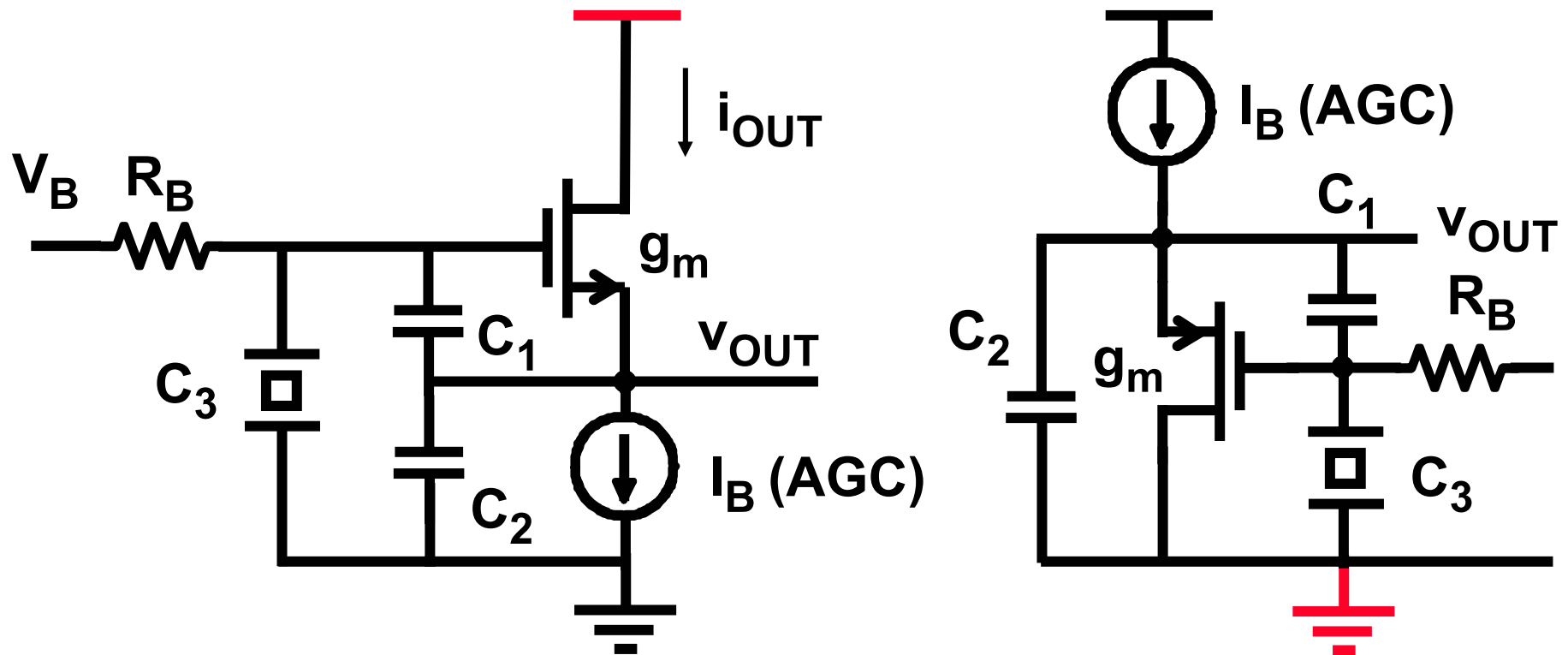


**Crystal grounded : single-pin :  $X = D$**

---

# Santos X-tal oscillator

---



**Crystal grounded : single-pin :  $X = G$**

Ref. Santos, JSSC April 84, 228-236    Ref. Redman-White, JSSC Feb.90, 282-288

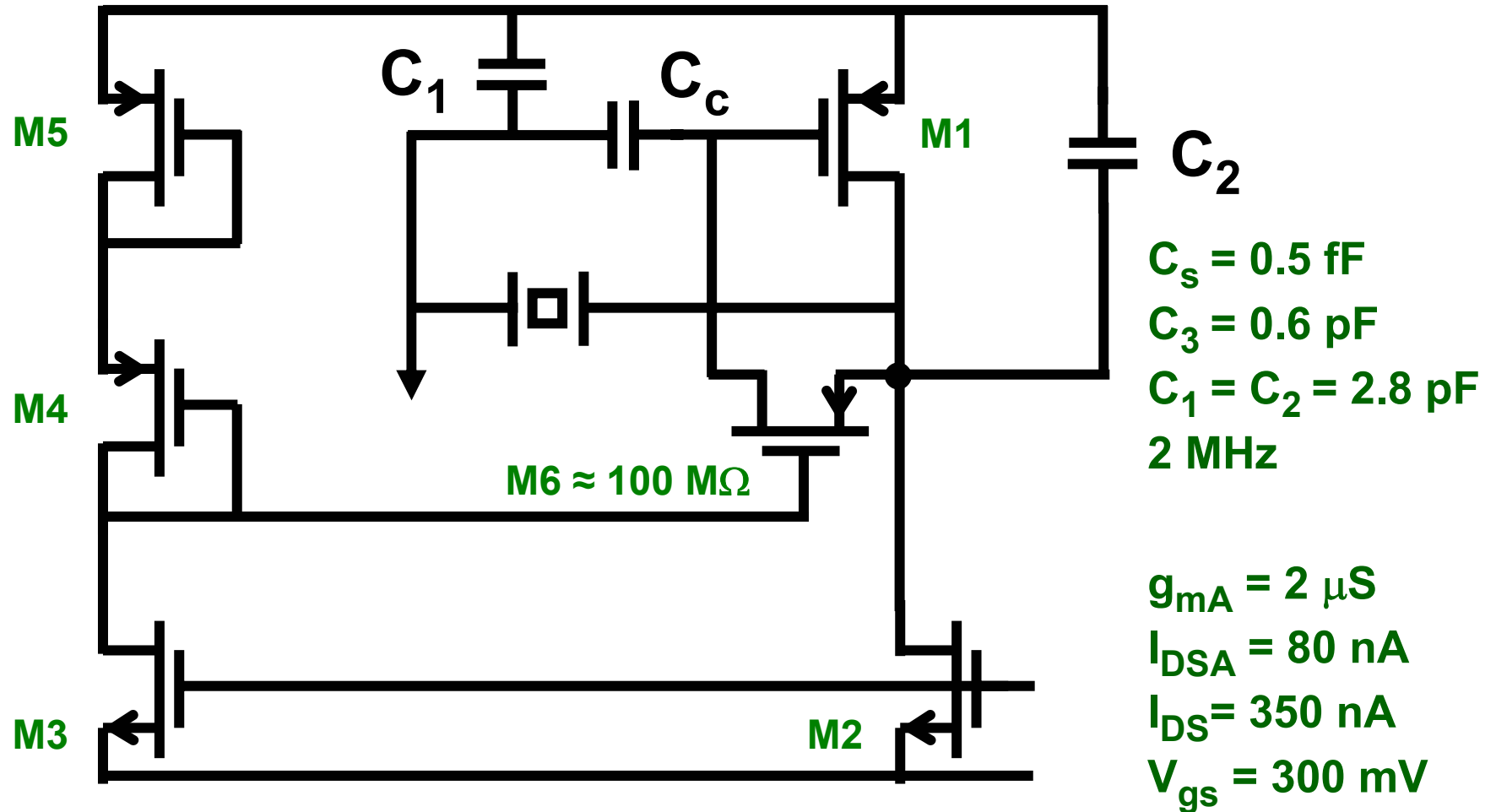
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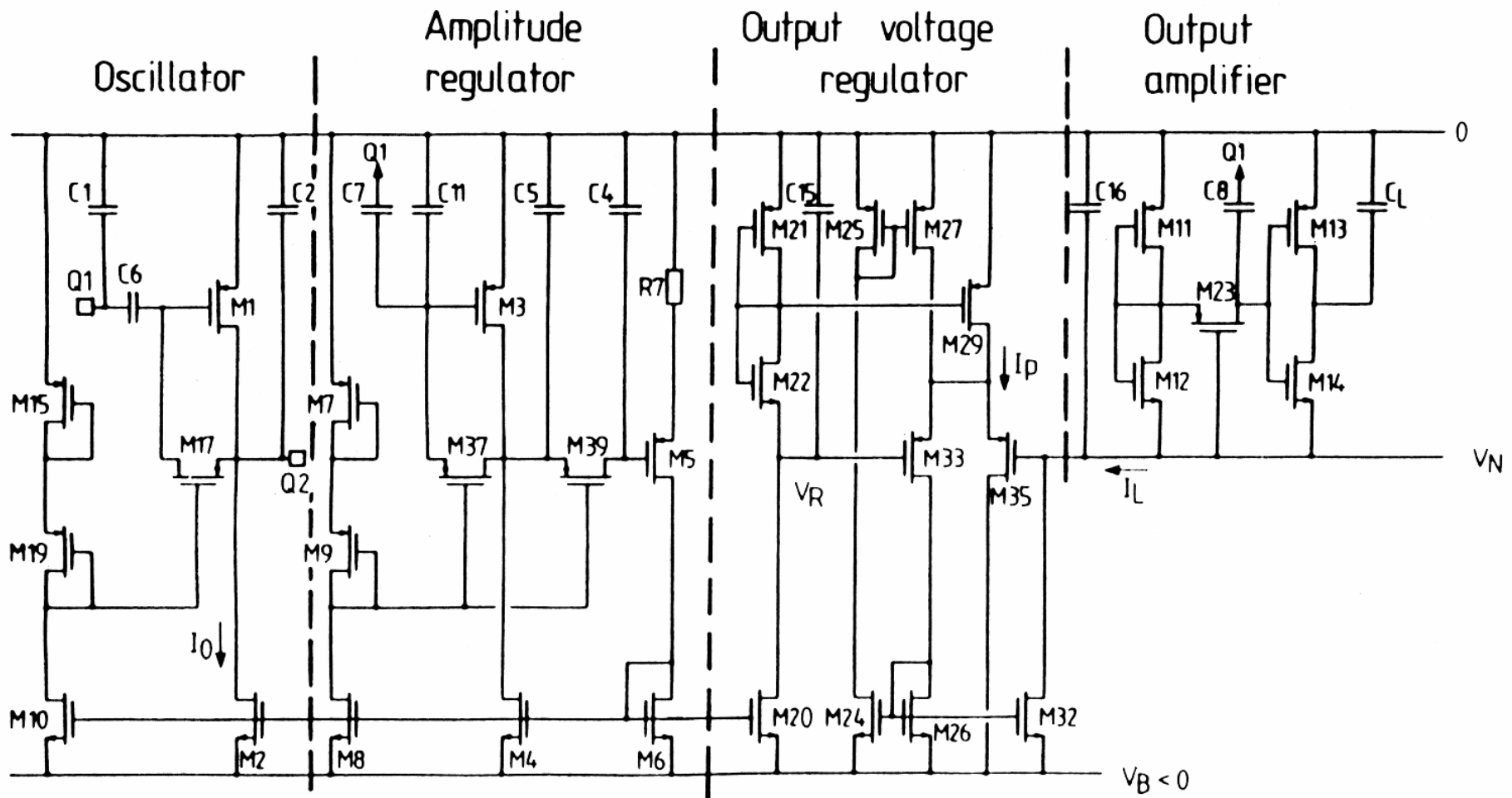
- ◆ Oscillation principles
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- ◆ Bipolar-transistor oscillator circuits
- ◆ Other oscillators

# Practical Pierce X-tal oscillator



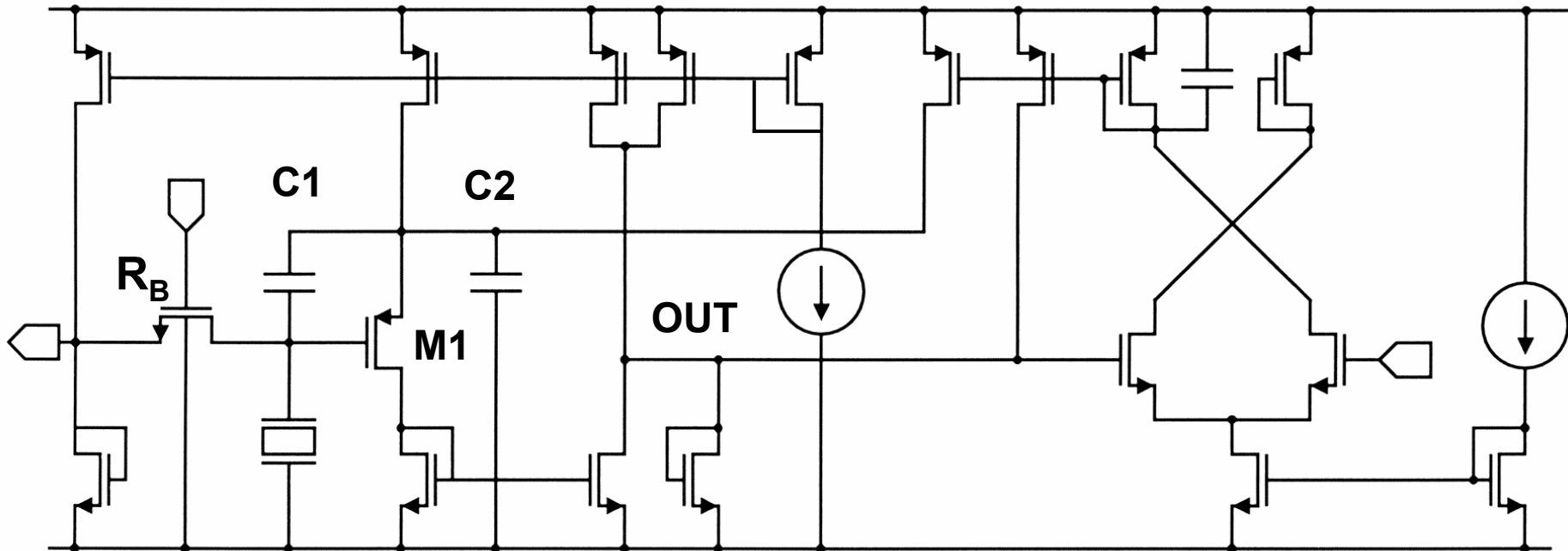
Ref. Vittoz, JSSC June 88, 774-783

# Full schematic



Ref. Vittoz, JSSC June 88, 774-783

# Single-pin oscillator with crystal to Gate



$$f_s = 9.9956 \text{ MHz}$$

$$f_p = 10.012 \text{ MHz}$$

$$C_s = 24.3 \text{ fF}$$

$$C_o = 7.4 \text{ pF}$$

$$L = 10.4 \text{ mH}$$

$$R = 7.2 \text{ } \Omega \text{ (?)}$$

$$p = 0.8 \cdot 10^{-3}$$

$$C_1 = C_2 = 50 \text{ pF}$$

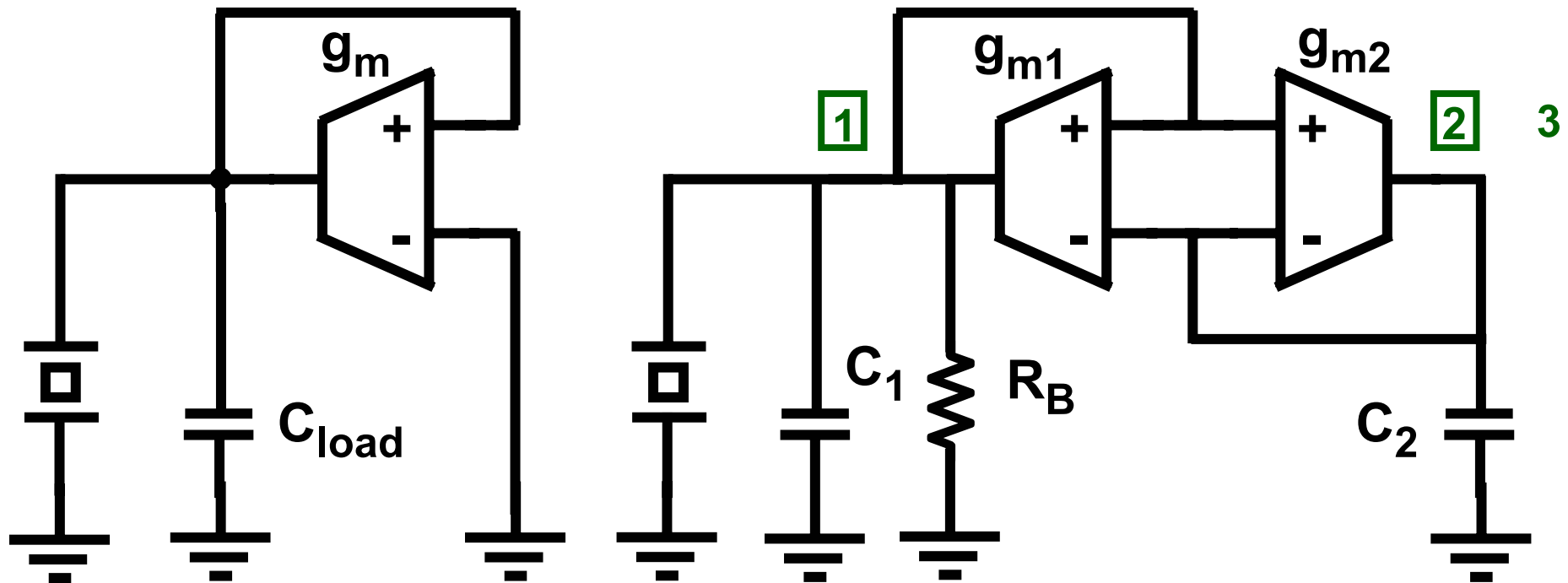
$$g_{mA} = 350 \text{ } \mu\text{S}$$

$$I_{DSA} = 90 \text{ } \mu\text{A} \text{ (} V_{GS} - V_T = 0.5 \text{ V)}$$

---

# Single-pin oscillator - 1

---



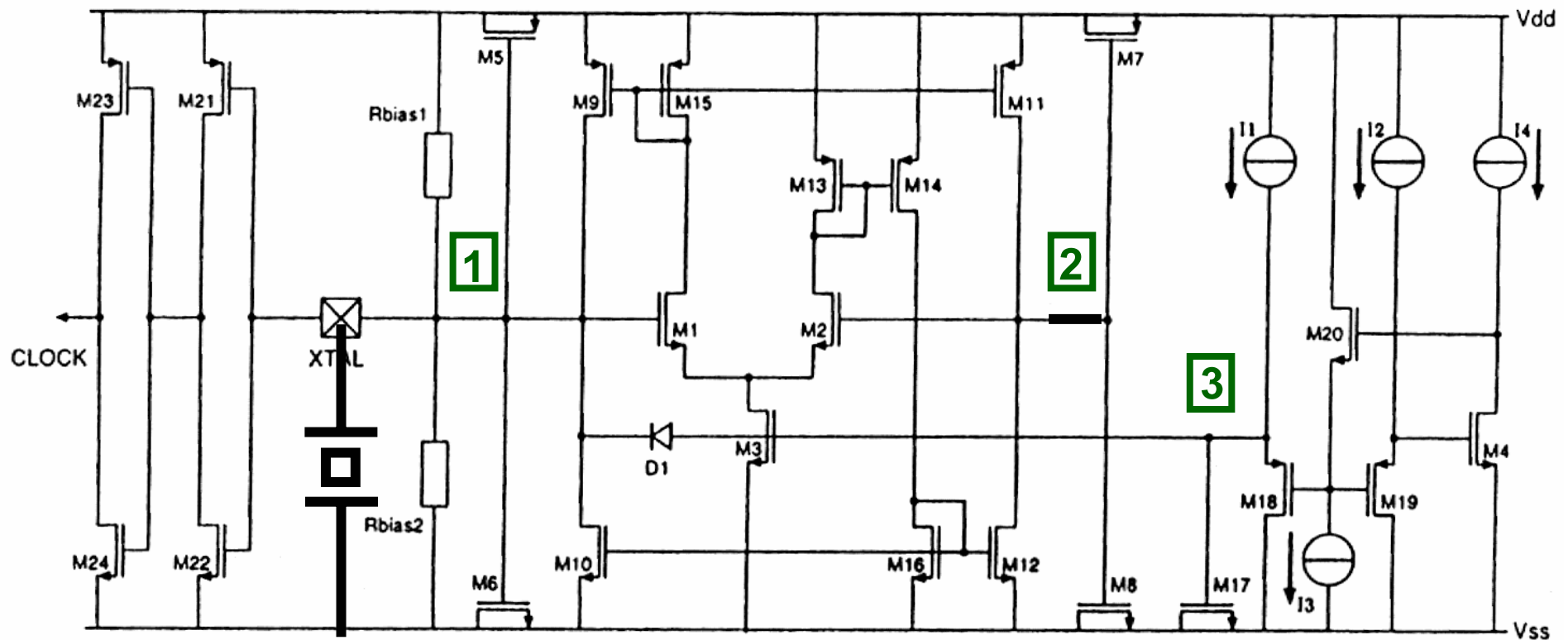
$$g_m = R_s (C_s \omega_0)^2$$

DC unstable !

Positive FB dominant  
at crystal frequency !

Ref. van den Homberg, JSSC July 99, 956-961

# Single-pin oscillator - 2



10 MHz, 3.3 V, 0.35 mA

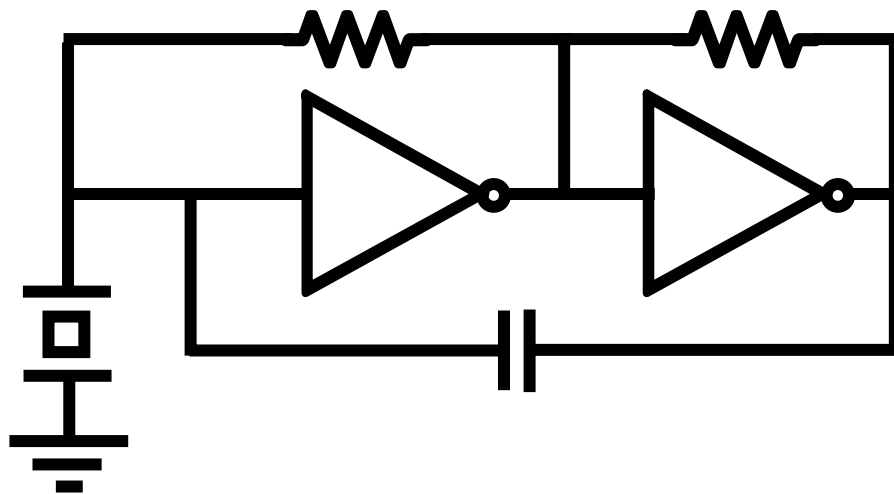
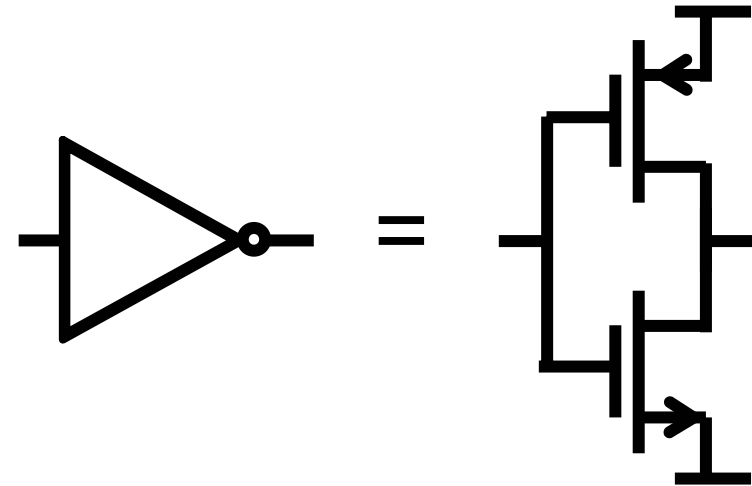
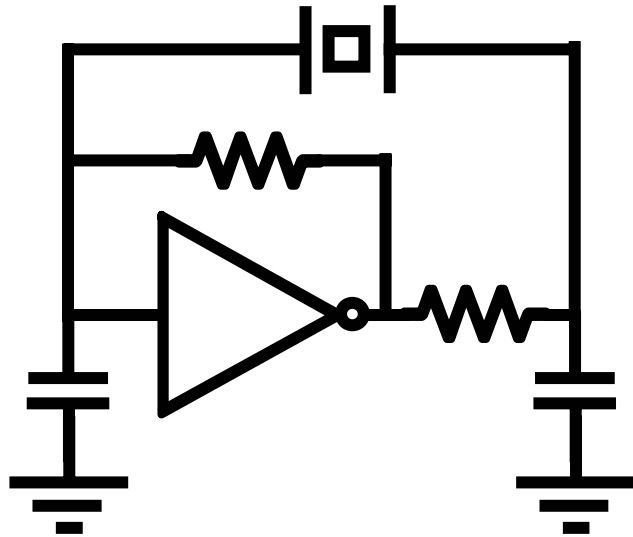
Ref. van den Homberg, JSSC July 99, 956-961



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# X-tal oscillators with CMOS inverters

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**Large current peaks !  
Bad PSRR !!**

---

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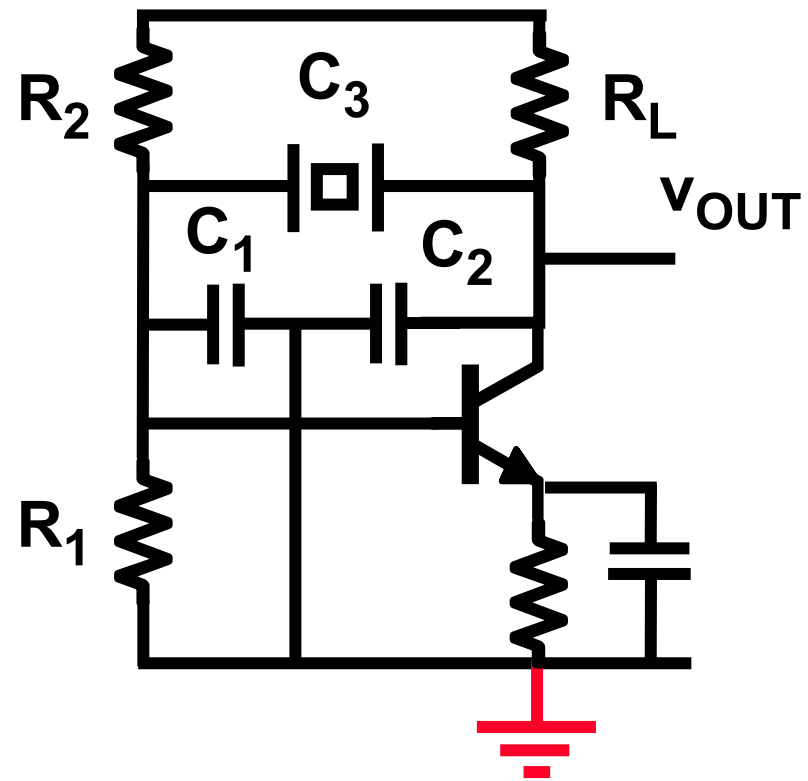
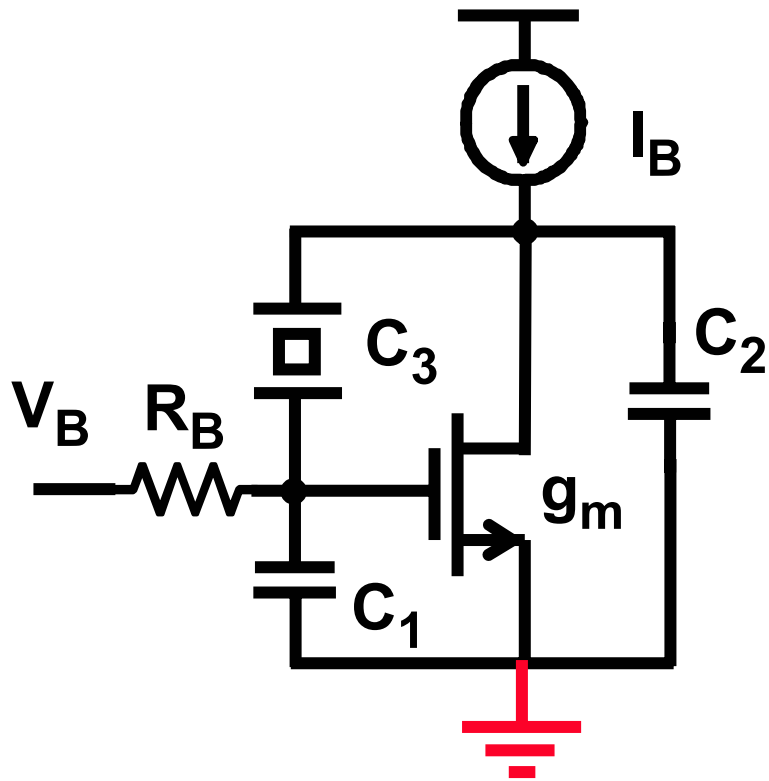
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- ◆ **Oscillation principles**
- ◆ **Crystals**
- ◆ **Single-transistor oscillator**
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- ◆ **Bipolar-transistor oscillator circuits**
- ◆ **Other oscillators**

---

# Pierce X-tal oscillator

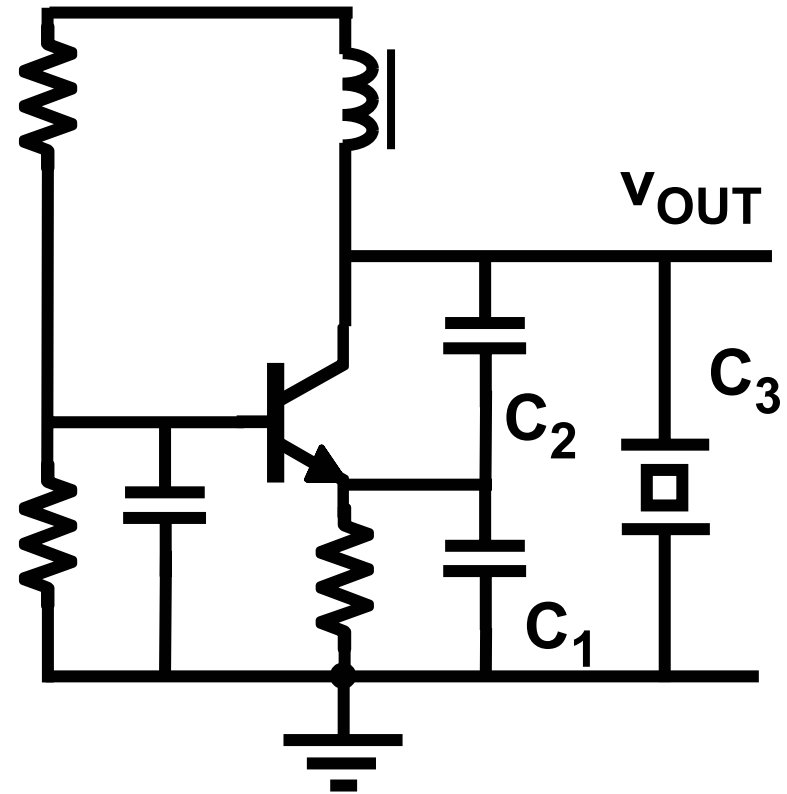
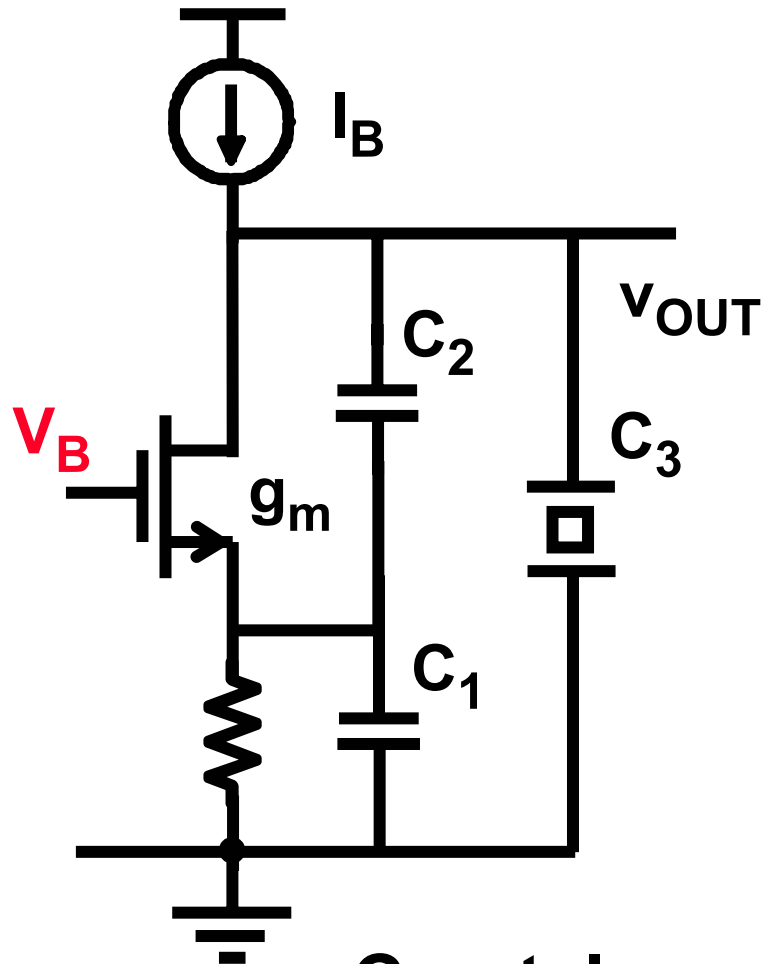
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# Colpitts X-tal oscillator

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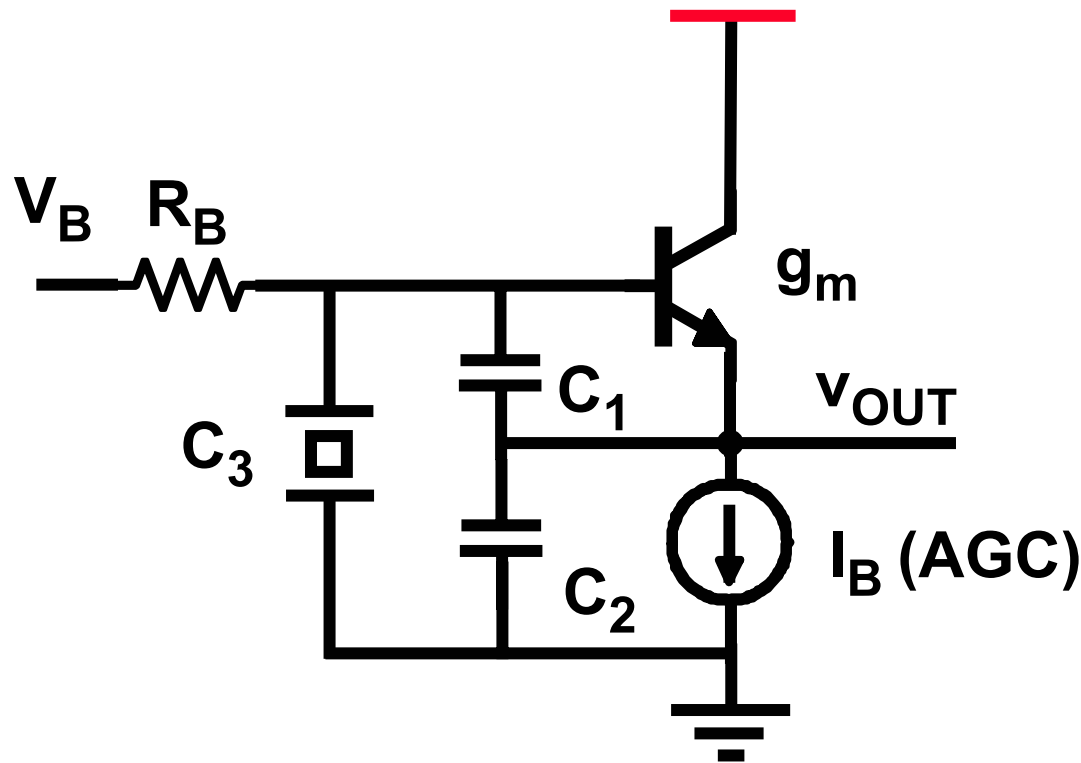


**Crystal grounded : single-pin :  $X = D$**

---

# Santos X-tal oscillator

---



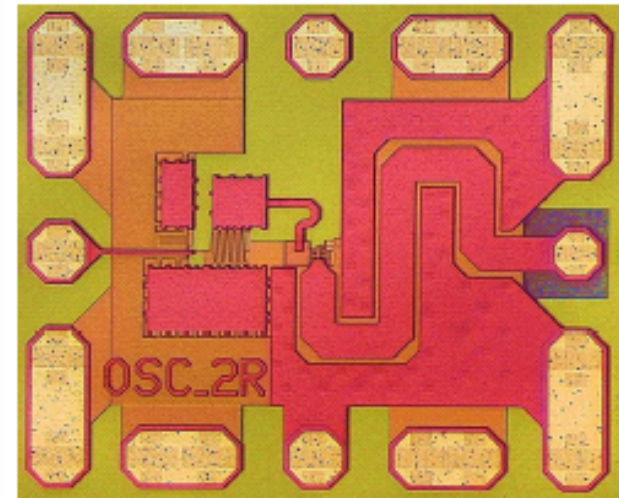
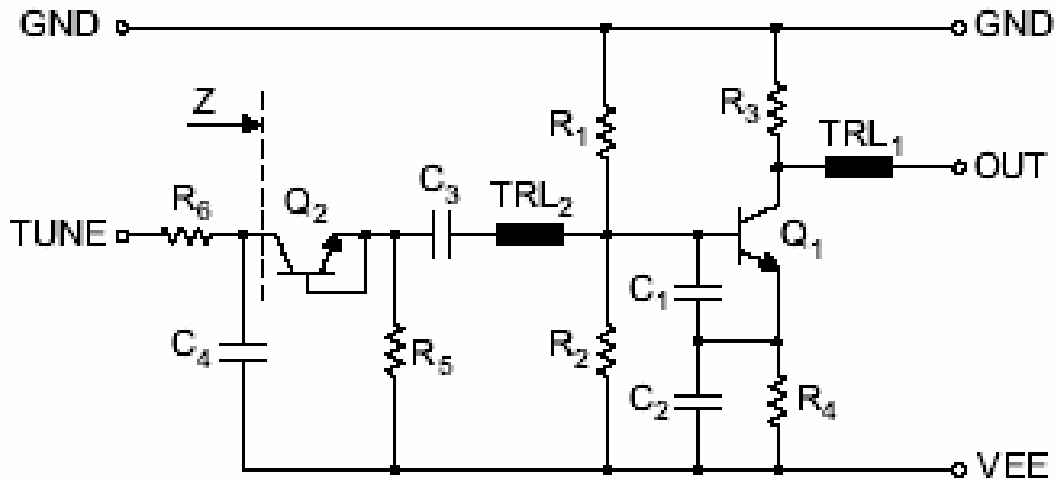
**Crystal grounded : single-pin :  $X = G$**

**Buffered output**

Ref. Santos, JSSC April 84, 228-236

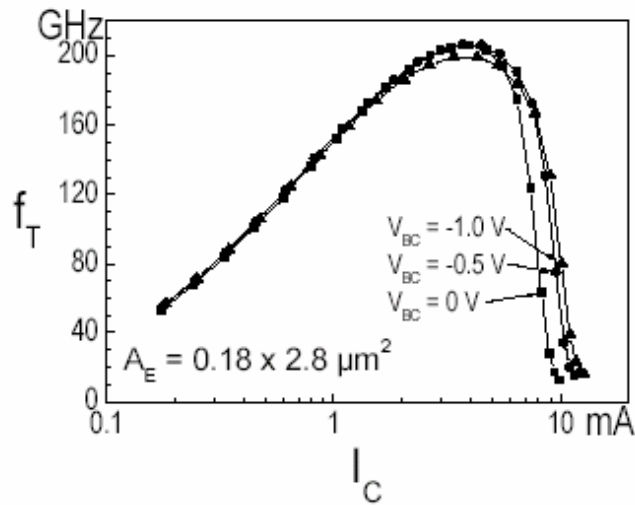
Ref. Redman-White, JSSC Feb.90, 282-288

# 98 GHz VCO in SiGe Bipolar technology



**Colpitts**

**0.55 x 0.45 mm**



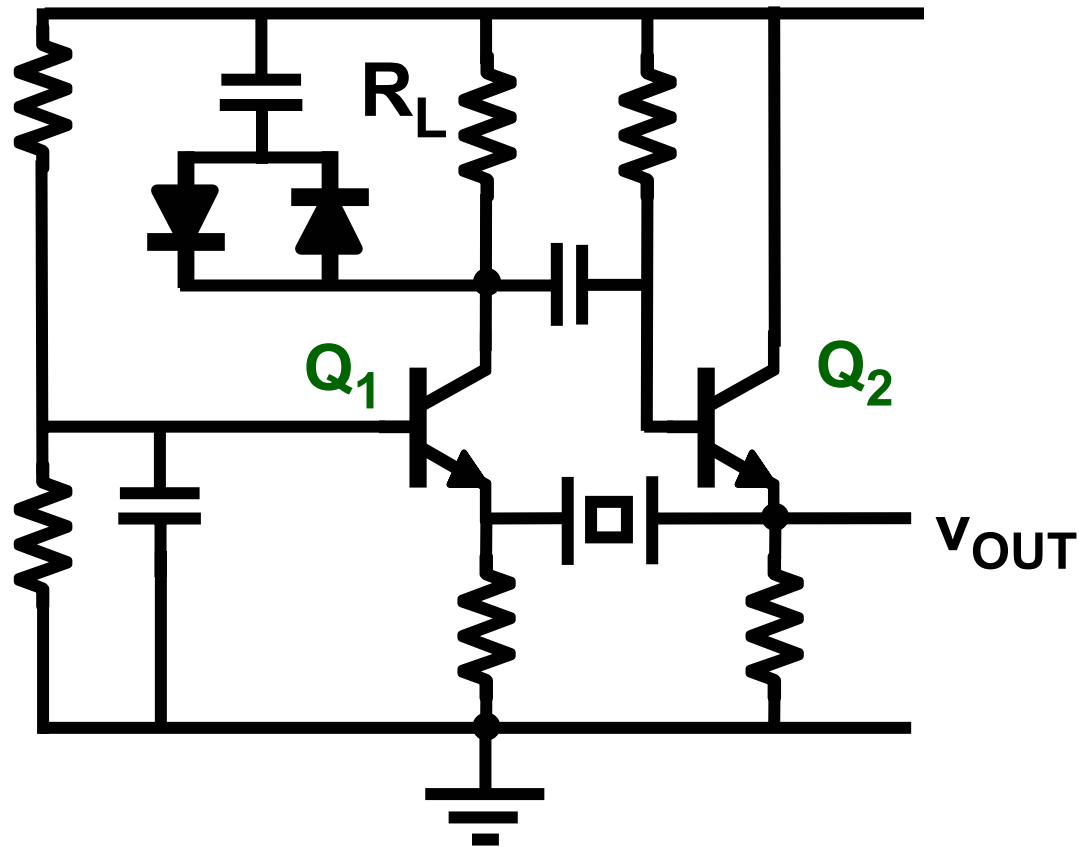
**12 mA at -5 V**  
**-97 dBc/Hz at 1 MHz**

**Ref. Prendl BCTM Toulouse 03**

---

# Positive feedback circuits - 1

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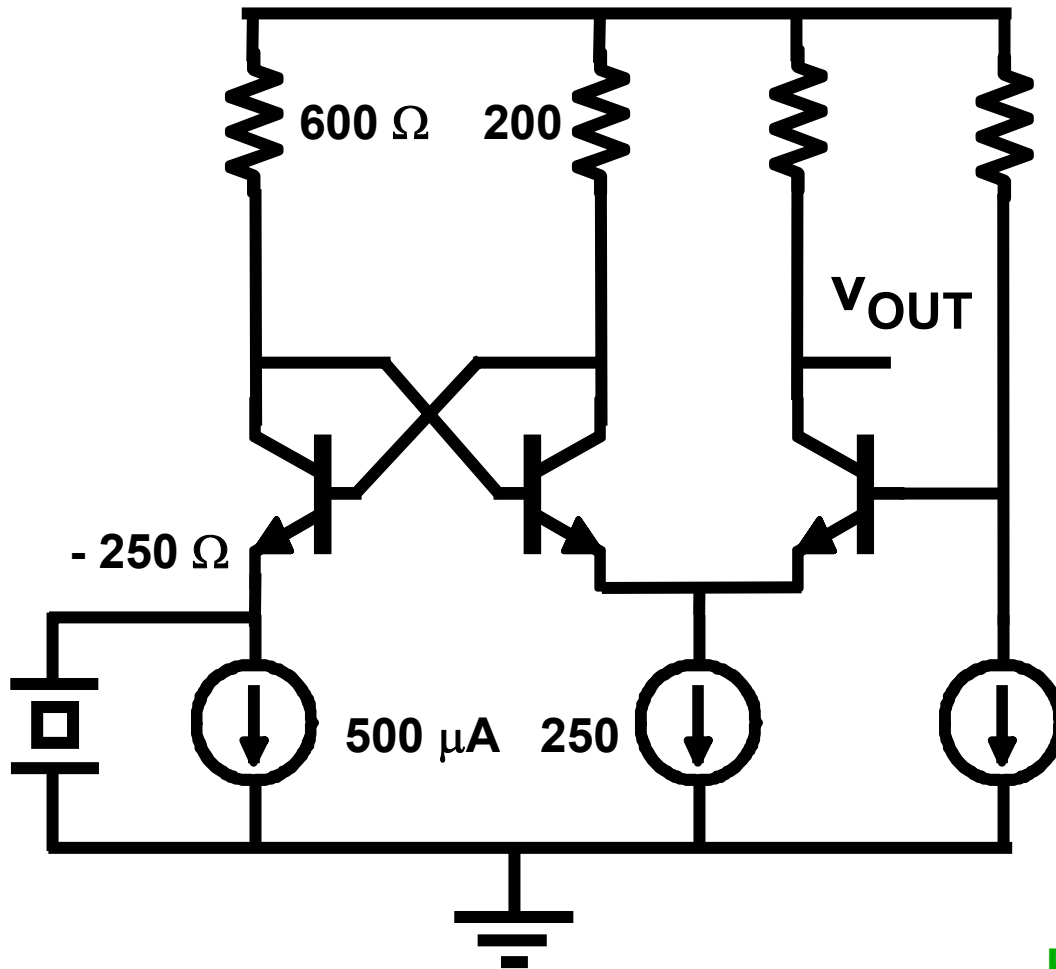
$$T = g_{m1} R_L$$
$$R_L > R_s$$

Ref. Nordholt, CAS 90, 175-182

---

# Positive feedback circuits - 2

---

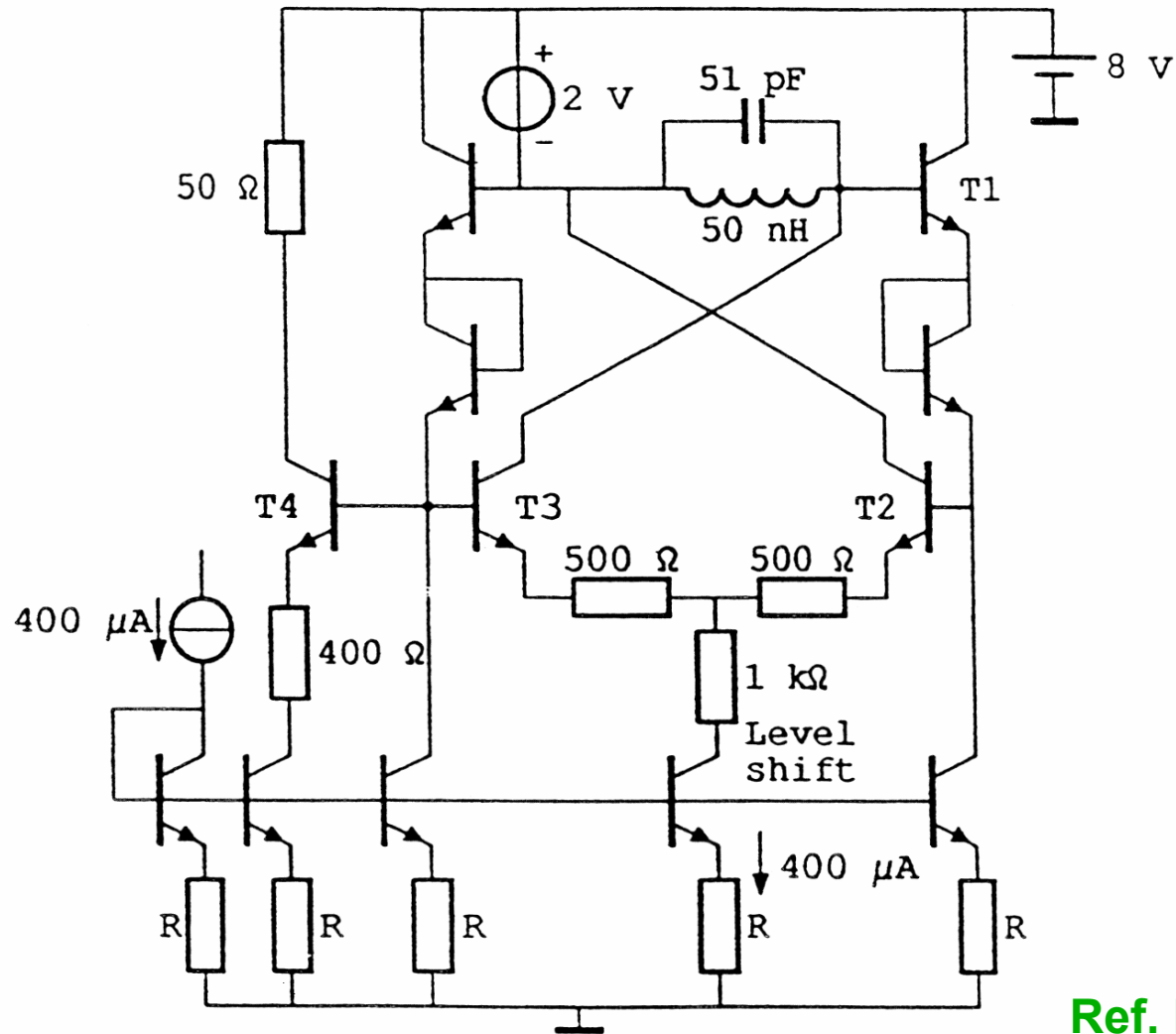


**Buffered output !**

Ref. Nordholt, CAS 90, 175-182



# Positive feedback circuits - 3



$$g_{mA} = 8 \text{ mS}$$

100 MHz

Ref. Nordholt, CAS 90, 175-182

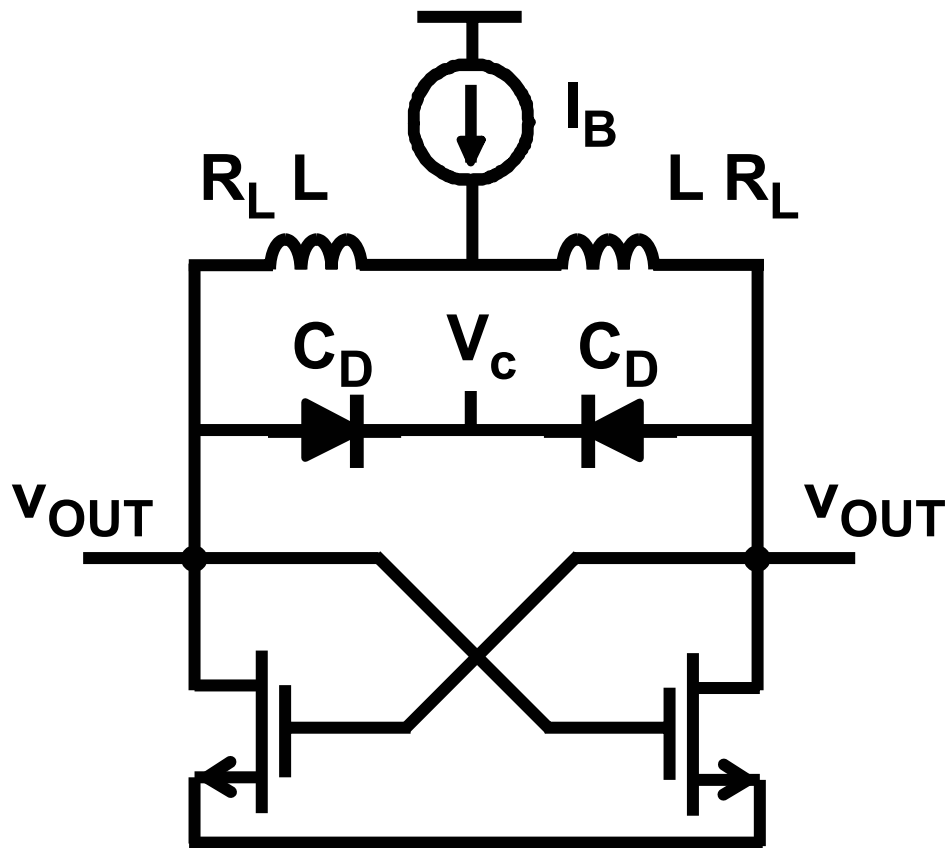
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- ◆ **Oscillation principles**
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# Voltage Controlled Oscillator



$$\omega_s = \frac{1}{\sqrt{LC_D}}$$

$$g_{mA} \approx R_L (C_D \omega_s)^2$$

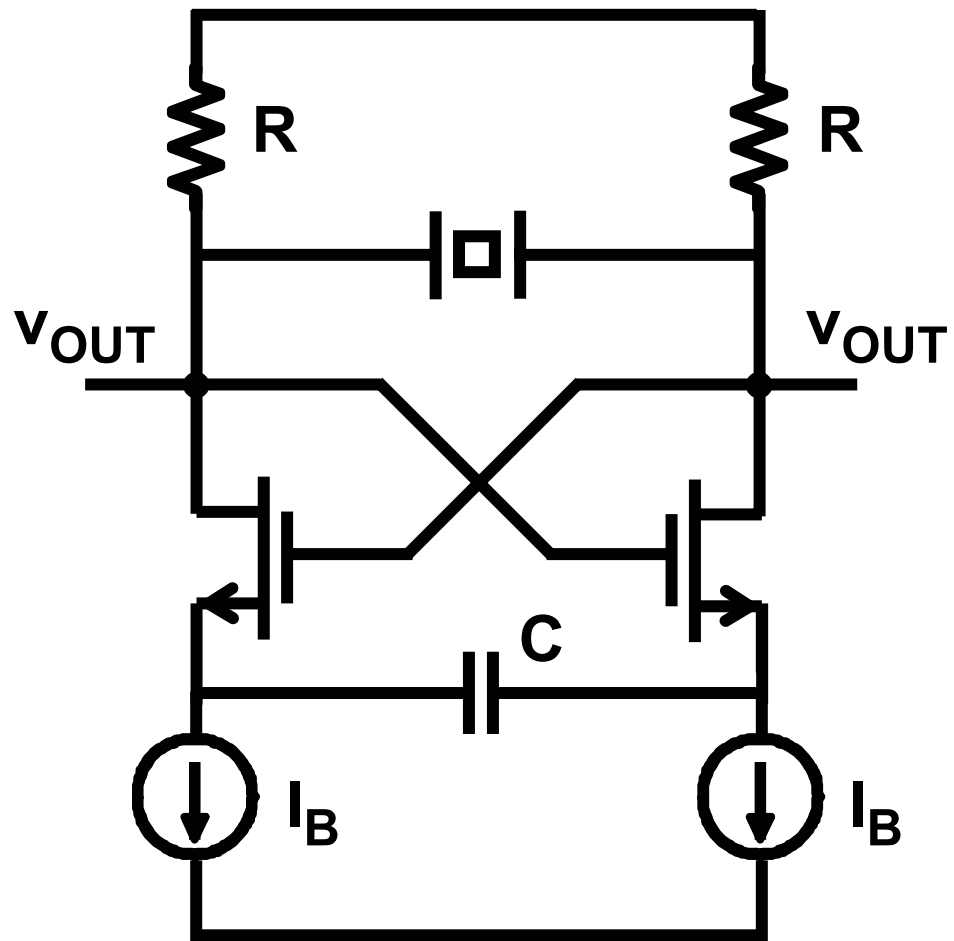
$$\frac{dv_{out}^2 \{\Delta\omega\}}{4kTR_L \left(1 + \frac{4}{3}\right) \left(\frac{\omega_s}{\Delta\omega}\right)^2 df} =$$

Ref. Craninckx, ACD Kluwer 96, 383-400 ; JSSC May 97, 736-744

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# Differential crystal Oscillator

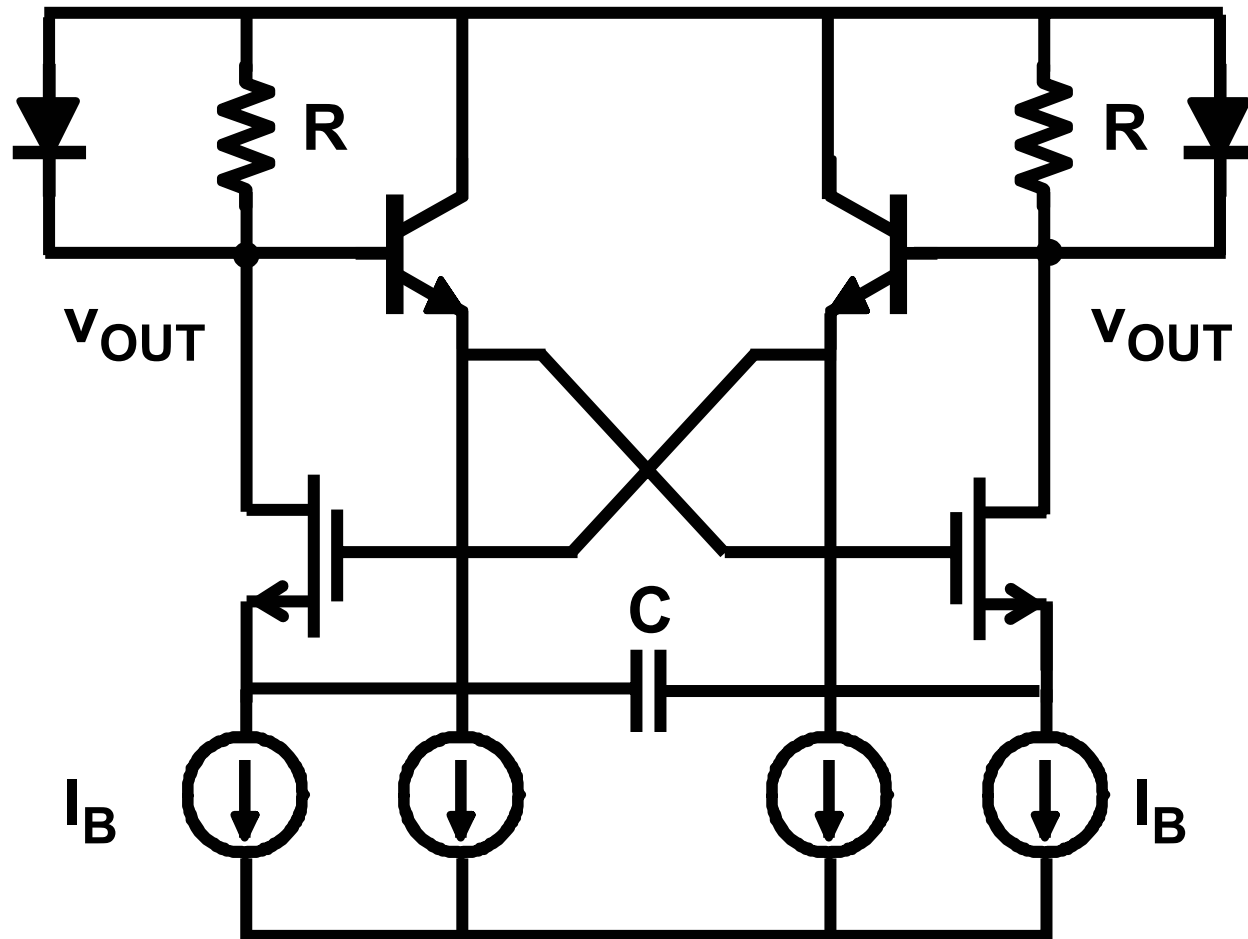
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# Relaxation Oscillator

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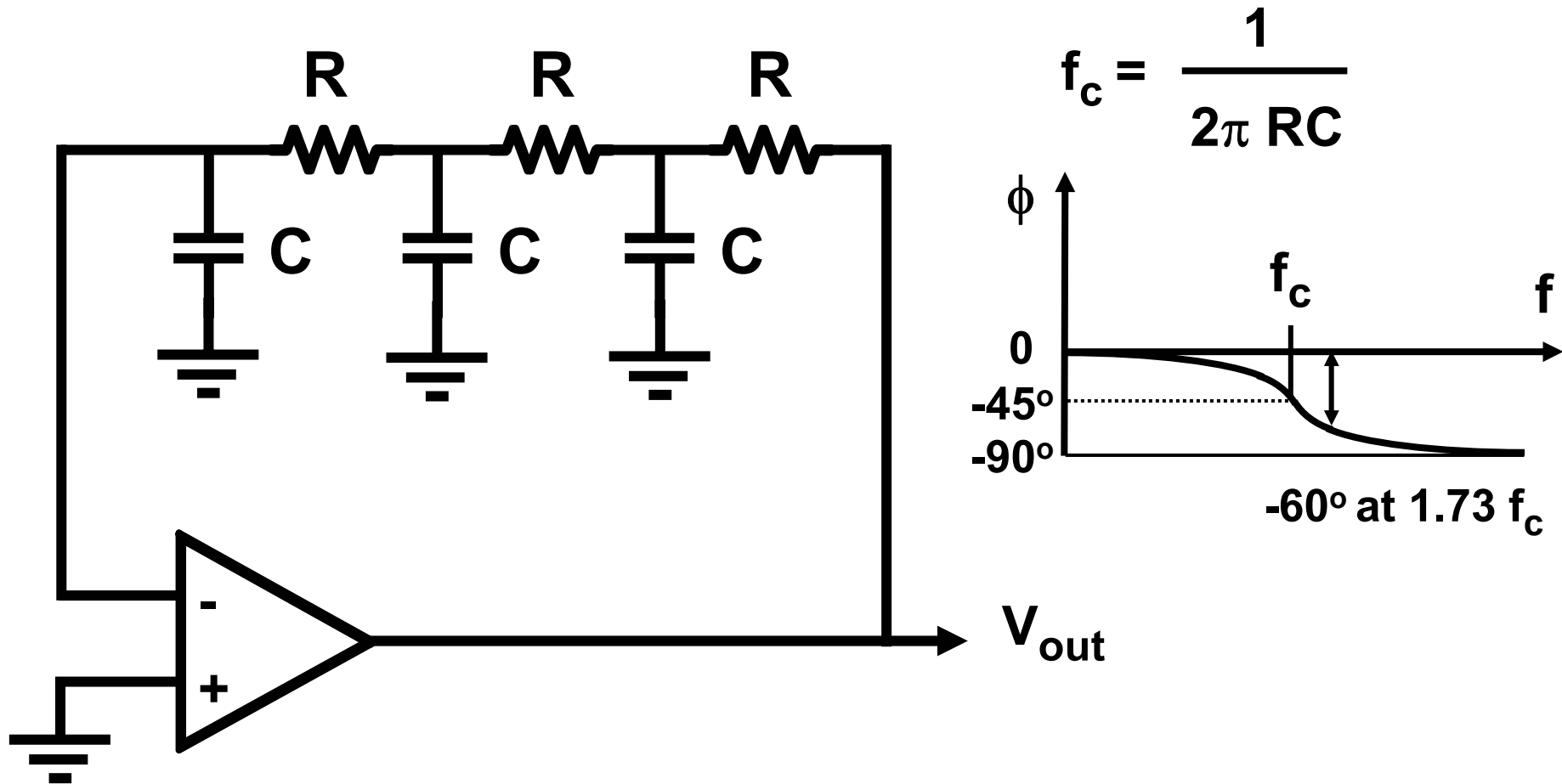


Ref. Grebene, JSSC, Aug.69, 110-122; Gray, Meyer, Wiley, 1984.

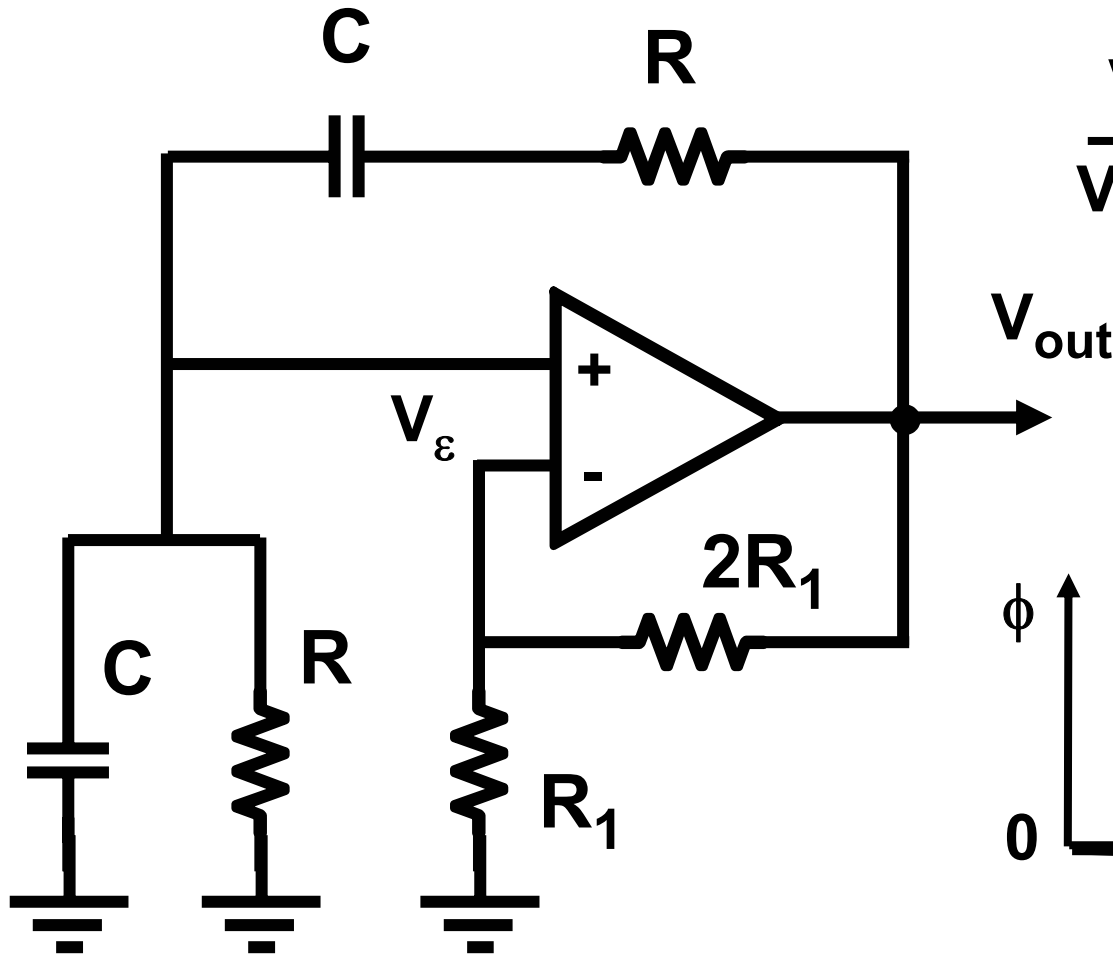
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# RC Oscillators : $3 \times 60^\circ = 180^\circ$

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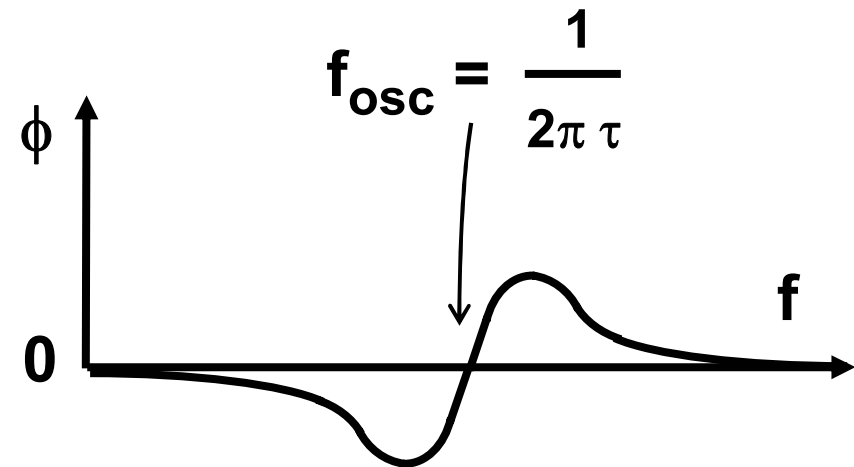


# Wien Oscillator : 3 x Gain required



$$\frac{V_{\epsilon}}{V_{out}} = \frac{1}{3} \frac{1 + 2\tau s + \tau^2 s^2}{1 + 3\tau s + \tau^2 s^2}$$

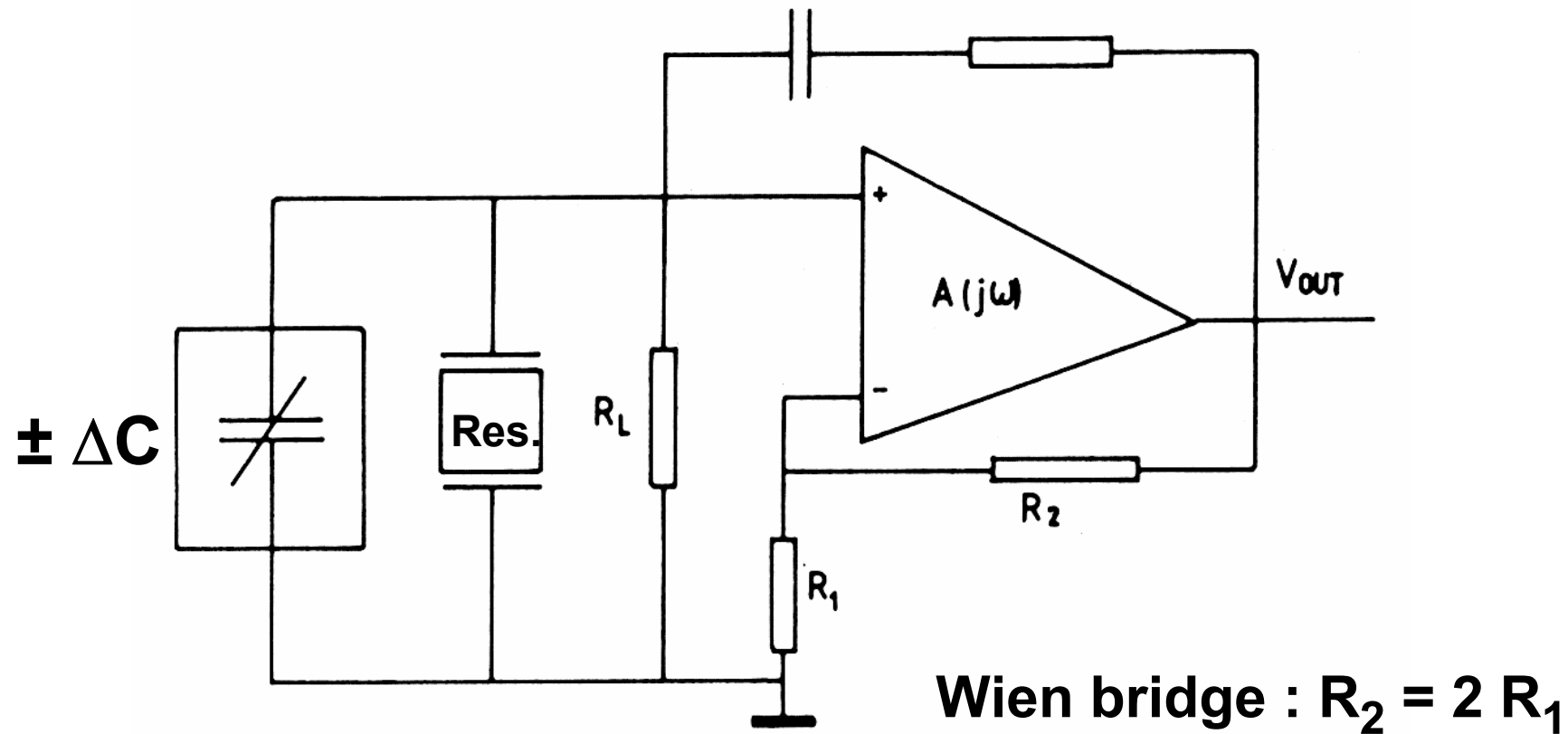
$$\tau = RC$$



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# Voltage-controlled X-tal oscillator

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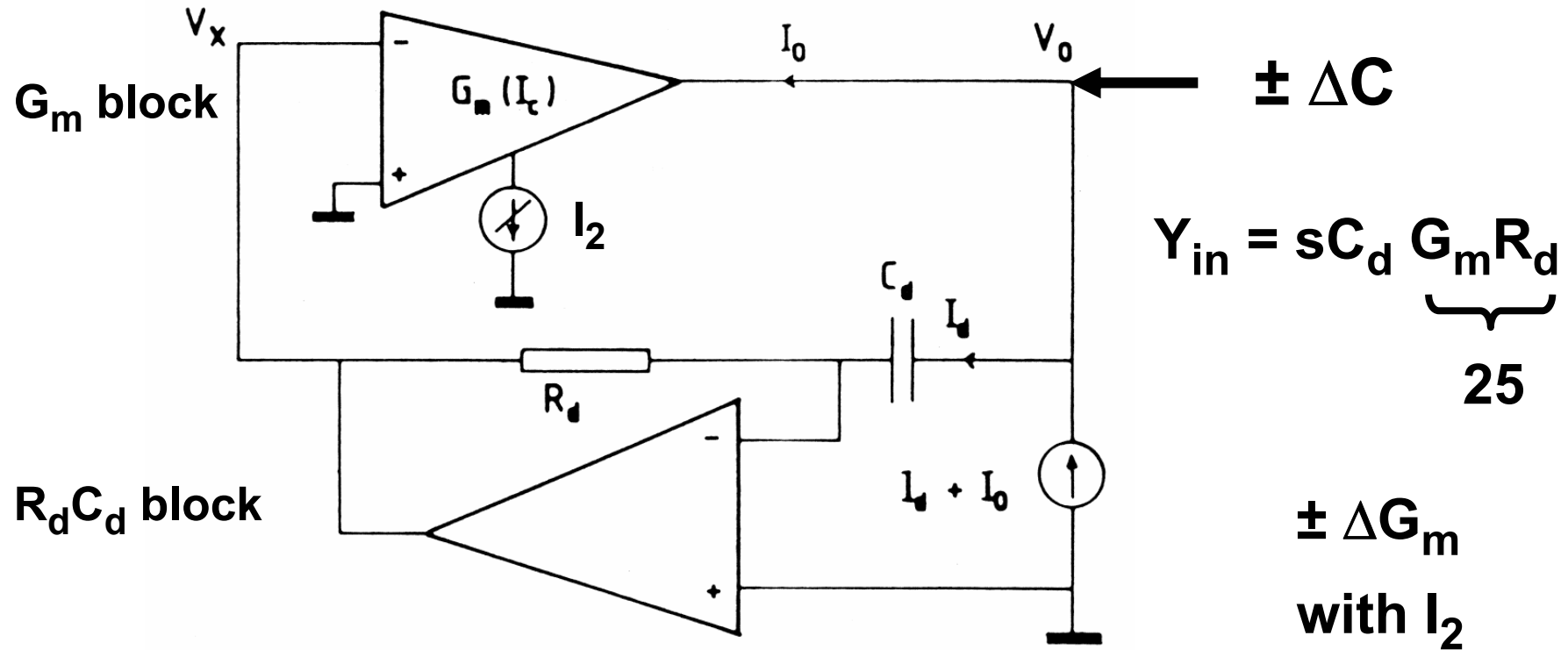


Resonator 457 kHz  
Tuning  $\pm 5$  kHz

Ref. Huang, JSSC June 88, 784-793

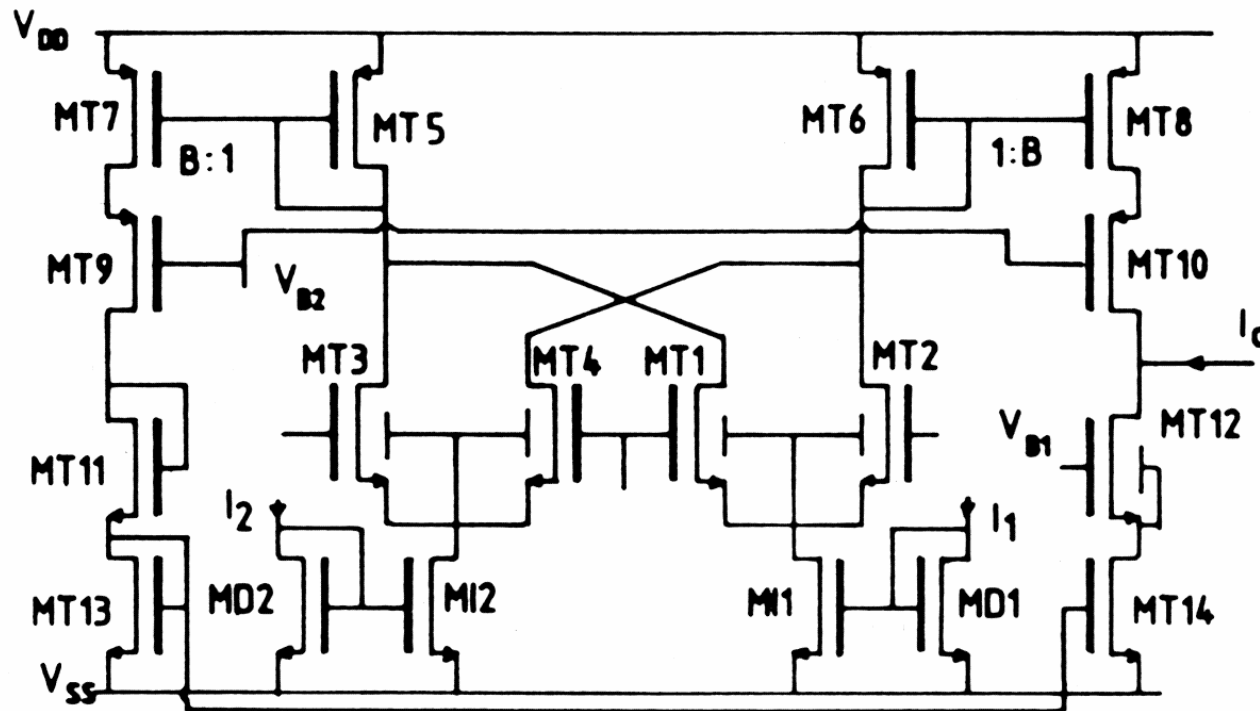


# Variable capacitance $\pm \Delta C$



Ref. Huang JSSC June 88, 784-793

# $G_m$ block to generate $\pm \Delta G_m$



$$I_1 = 90 \mu\text{A}$$

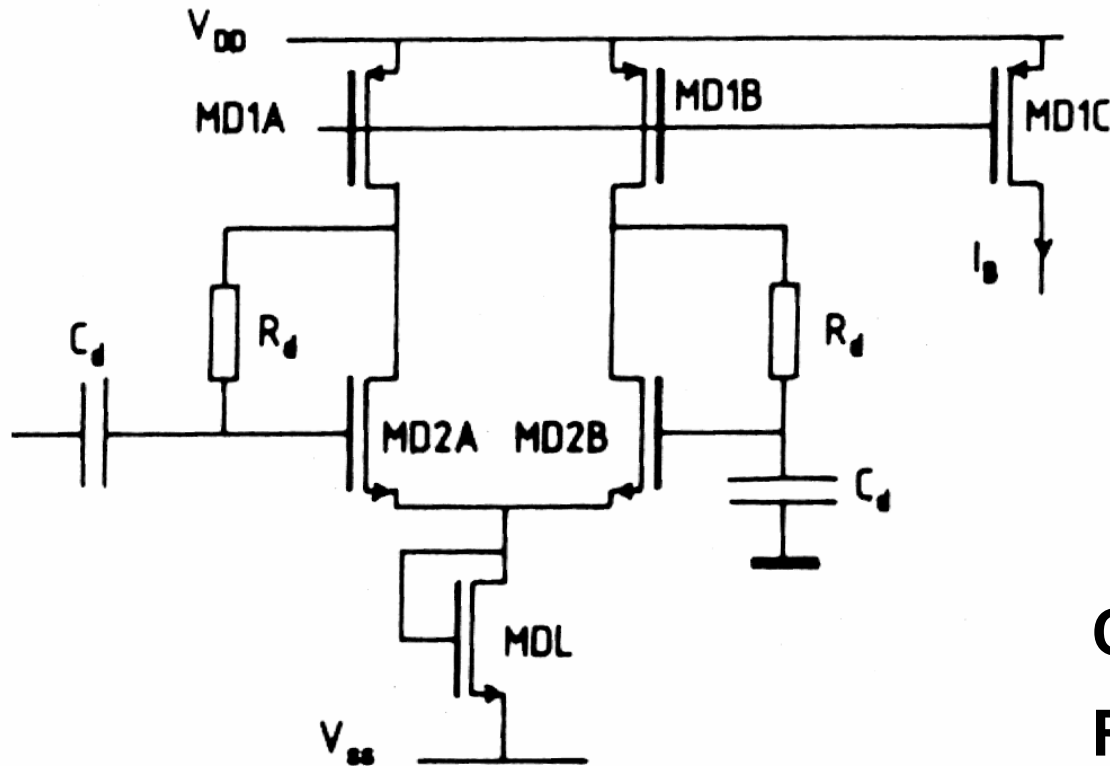
$$I_2 = 0 \dots 180 \mu\text{A}$$

$$G_m = B [(2\beta I_1)^{1/2} - (2\beta I_2)^{1/2}]$$

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# $R_d C_d$ block as differentiator

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$$C_d = 4 \text{ pF}$$

$$R_d = 40 \text{ k}\Omega$$

Ref. Huang JSSC June 88, 784-793

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# References X-tal oscillators -1

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A.Abidi, "Low-noise oscillators, PLL's and synthesizers", in R. van de Plassche, W.Sansen, H. Huijsing, "Analog Circuit Design", Kluwer Academic Publishers, 1997.

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## References X-tal oscillators - 2

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V. von Kaenel, E. Vittoz, D. Aebischer, "Crystal oscillators", in H. Huijsing, R. van de Plassche, W. Sansen, "Analog Circuit Design", Kluwer Academic Publishers, 1996, pp. 369-382.

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# Appendix: Polar diagrams

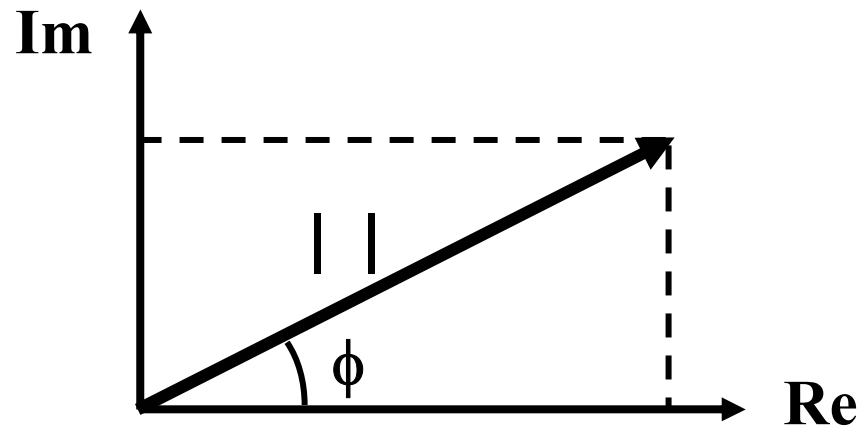
**Willy Sansen**

[willy.sansen@esat.kuleuven.be](mailto:willy.sansen@esat.kuleuven.be)

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# Amplitude, phase, Real & Imaginary

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$$| | = \sqrt{\text{Re}^2 + \text{Im}^2}$$

$$\text{tg}(\phi) = \frac{\text{Im}}{\text{Re}}$$

$$\text{Re} = | | \cos(\phi)$$

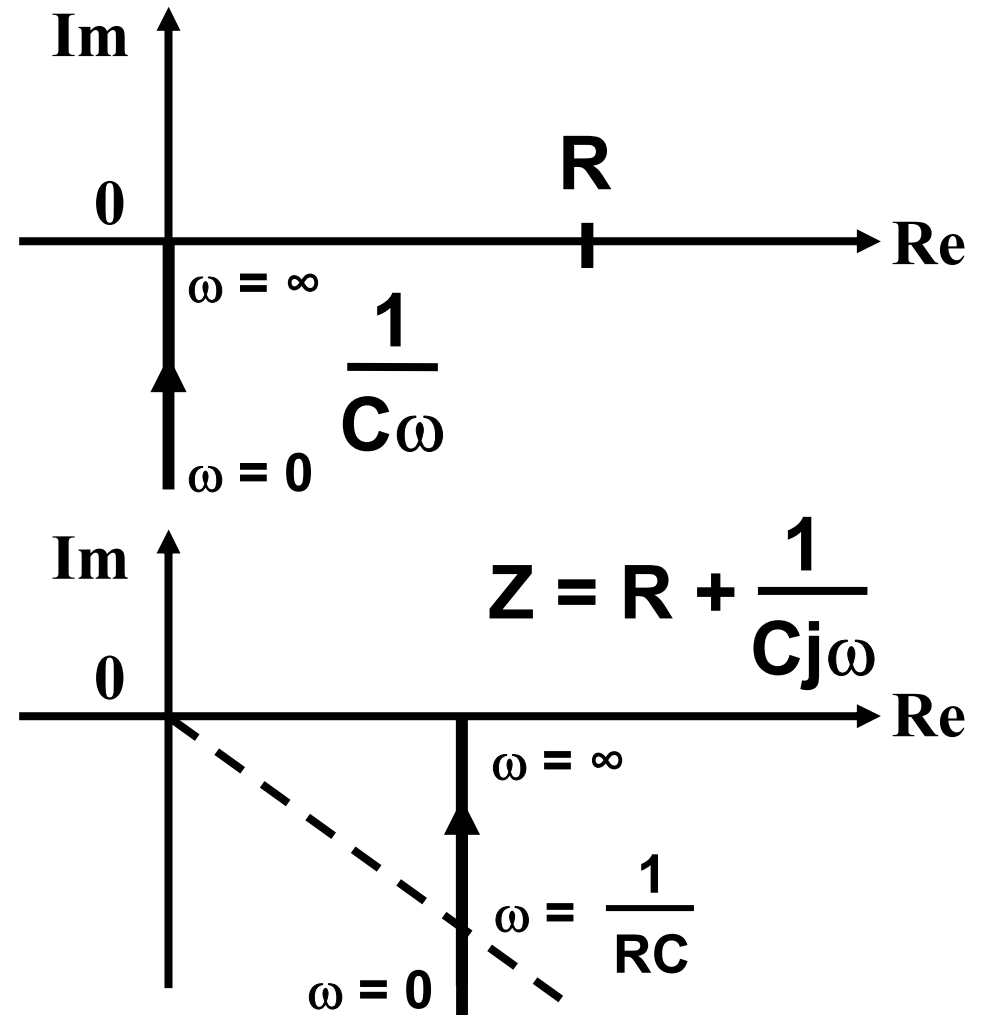
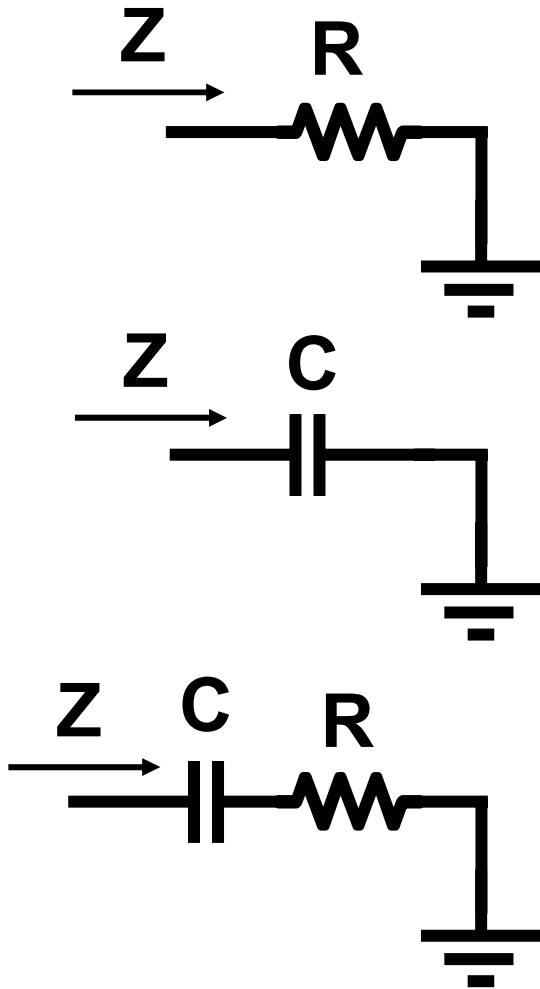
$$\text{Im} = | | \sin(\phi)$$



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# Polar diagram of RC network - 1

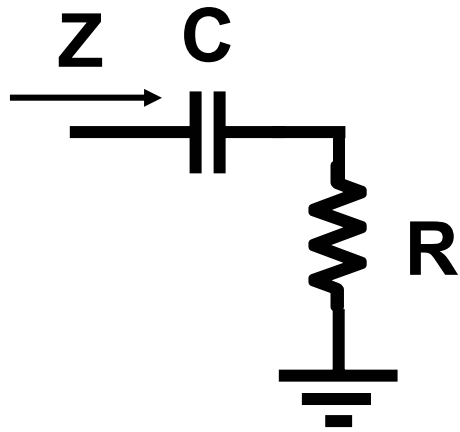
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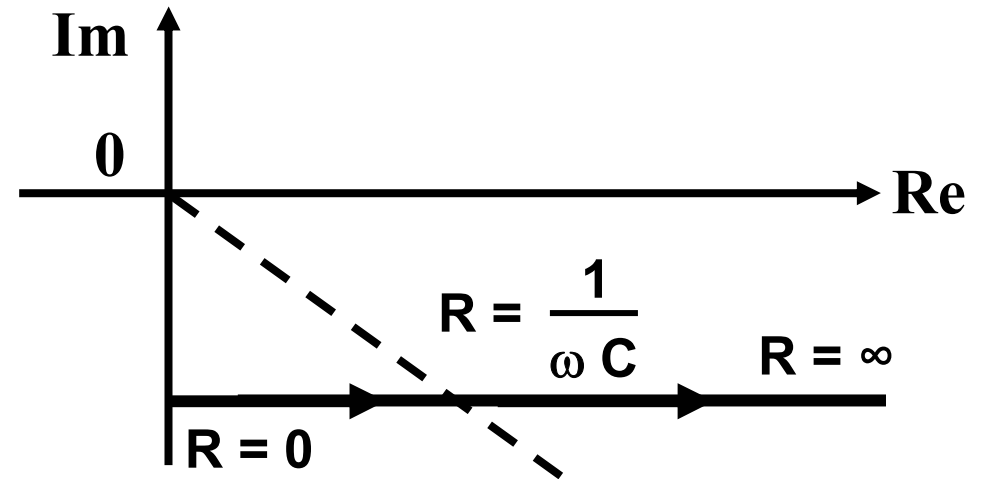
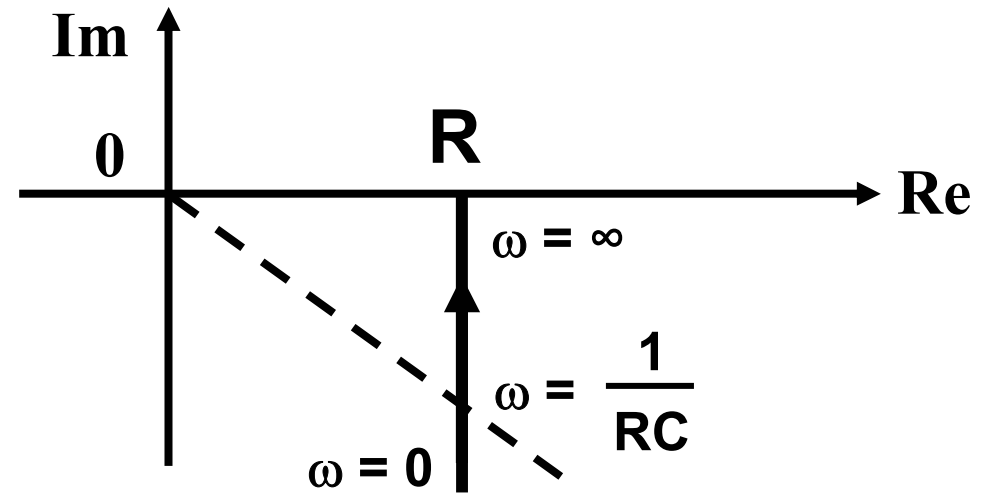
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# Polar diagram of RC network - 2

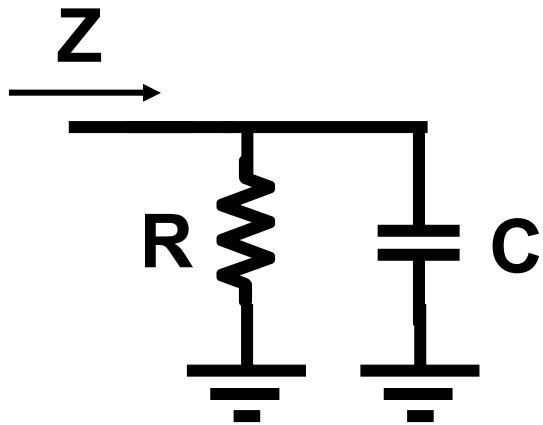
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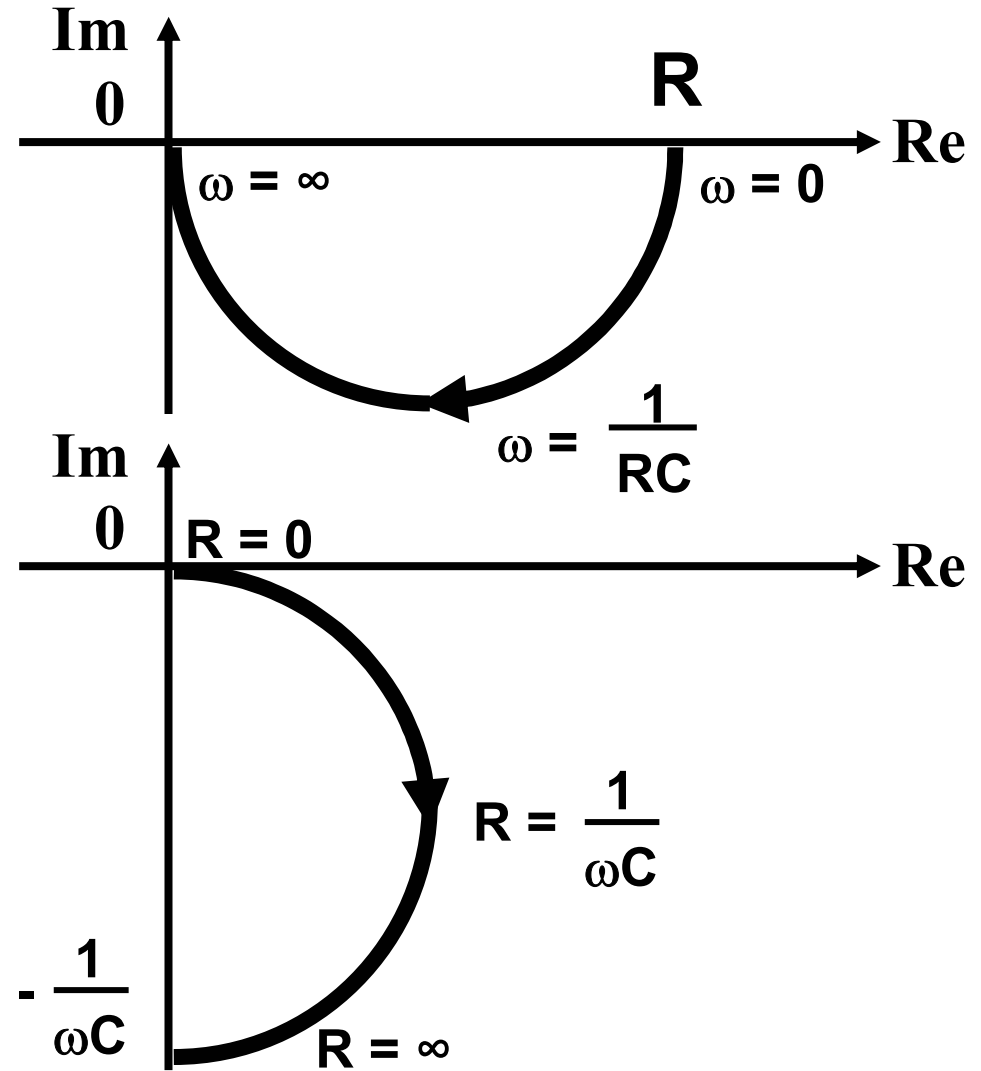
$$Z = R + \frac{1}{Cj\omega}$$



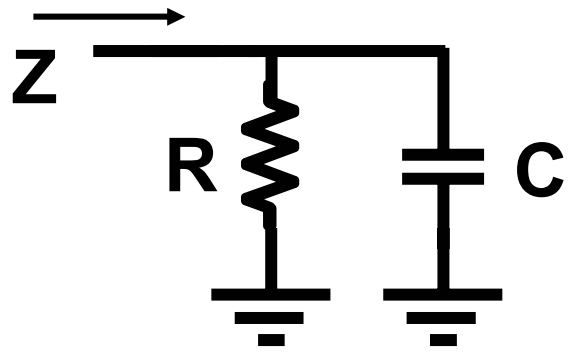
# Polar diagram of RC network - 3



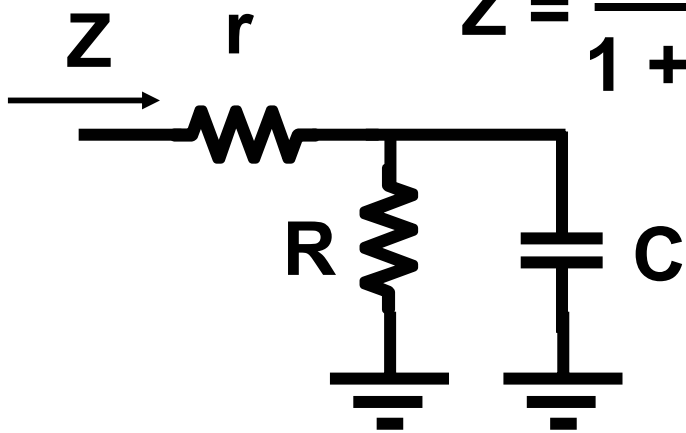
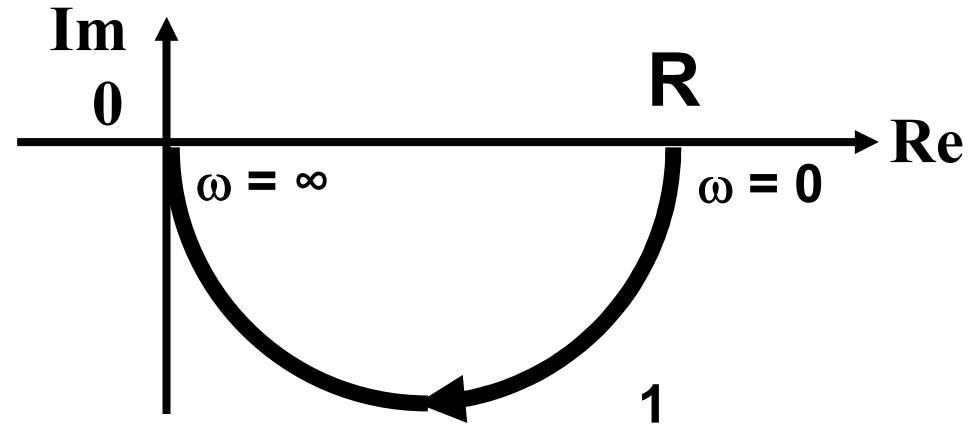
$$Z = \frac{R}{1 + RCj\omega}$$



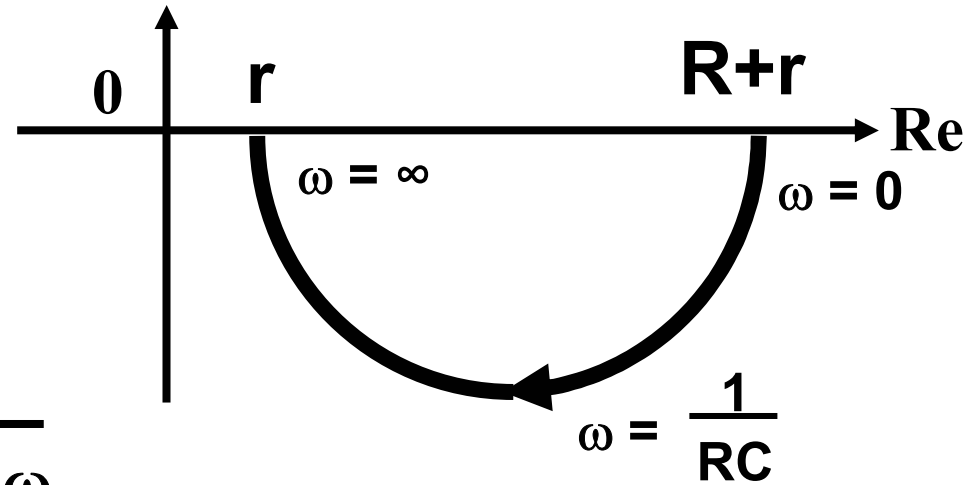
# Polar diagram of RC network - 4



$$Z = \frac{R}{1 + RCj\omega}$$

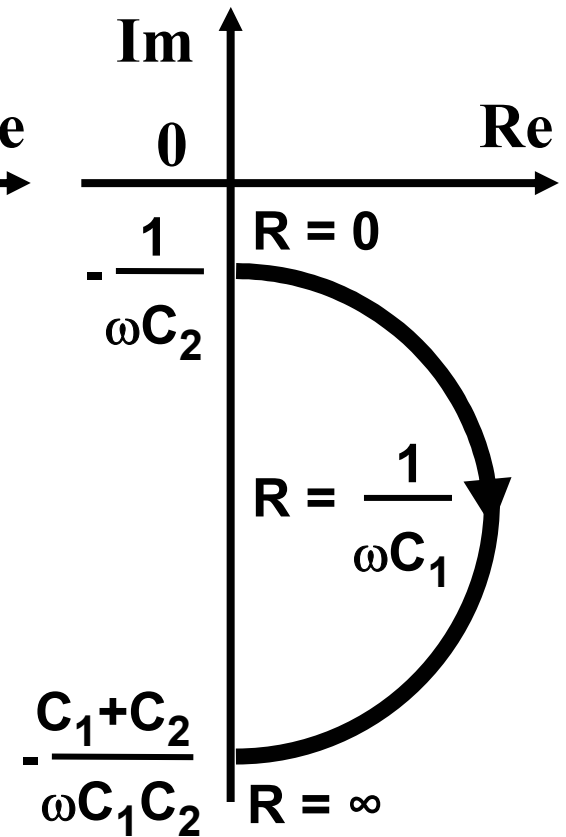
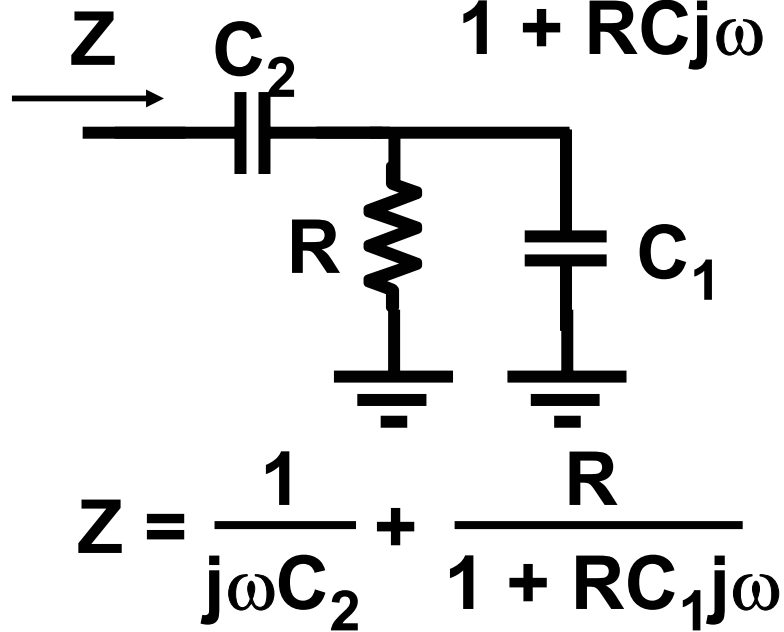
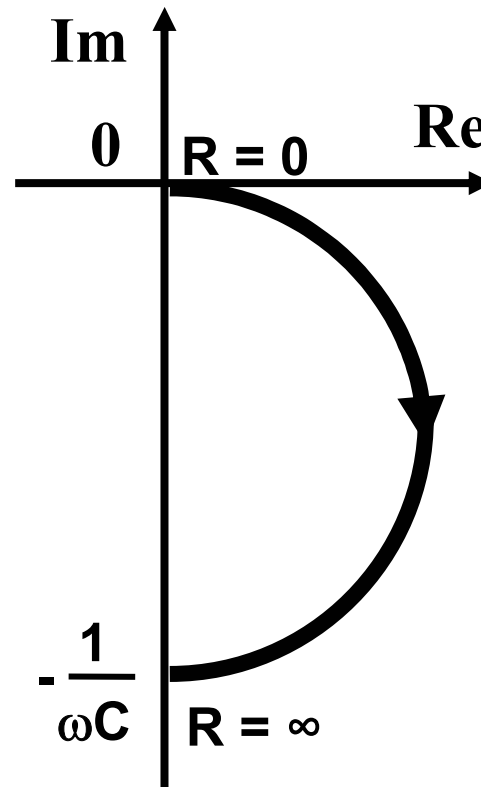
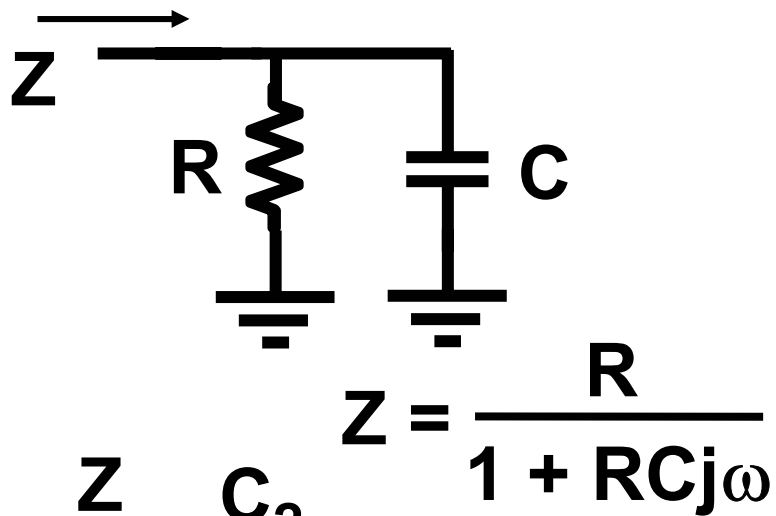


$$Z = r + \frac{R}{1 + RCj\omega}$$



Ref. Sansen, JSSC Dec.72, 492-498

# Polar diagram of RC network - 5



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# Circuit input impedance $Z_c$

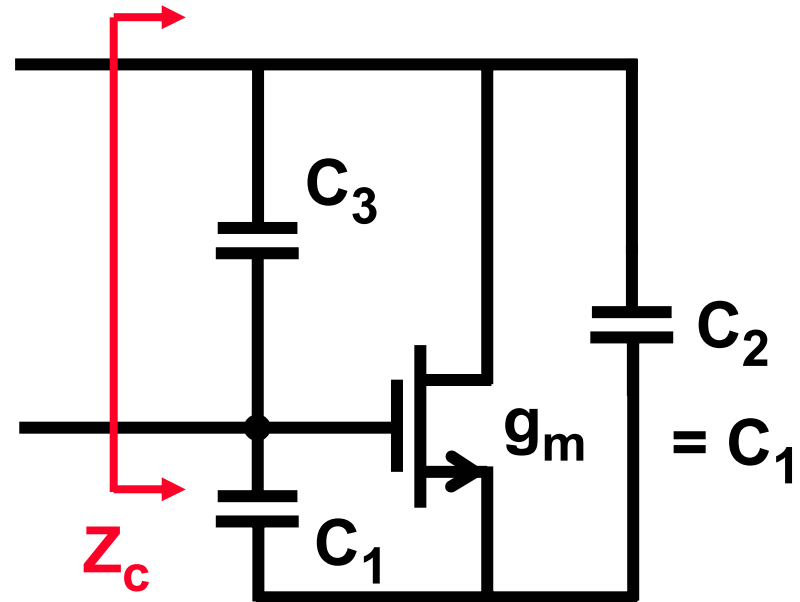
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$$Z_c \approx \frac{g_m + 2j\omega C_1}{j\omega C_3 \left( g_m + \frac{C_1}{C_3} j\omega C_1 \right)}$$

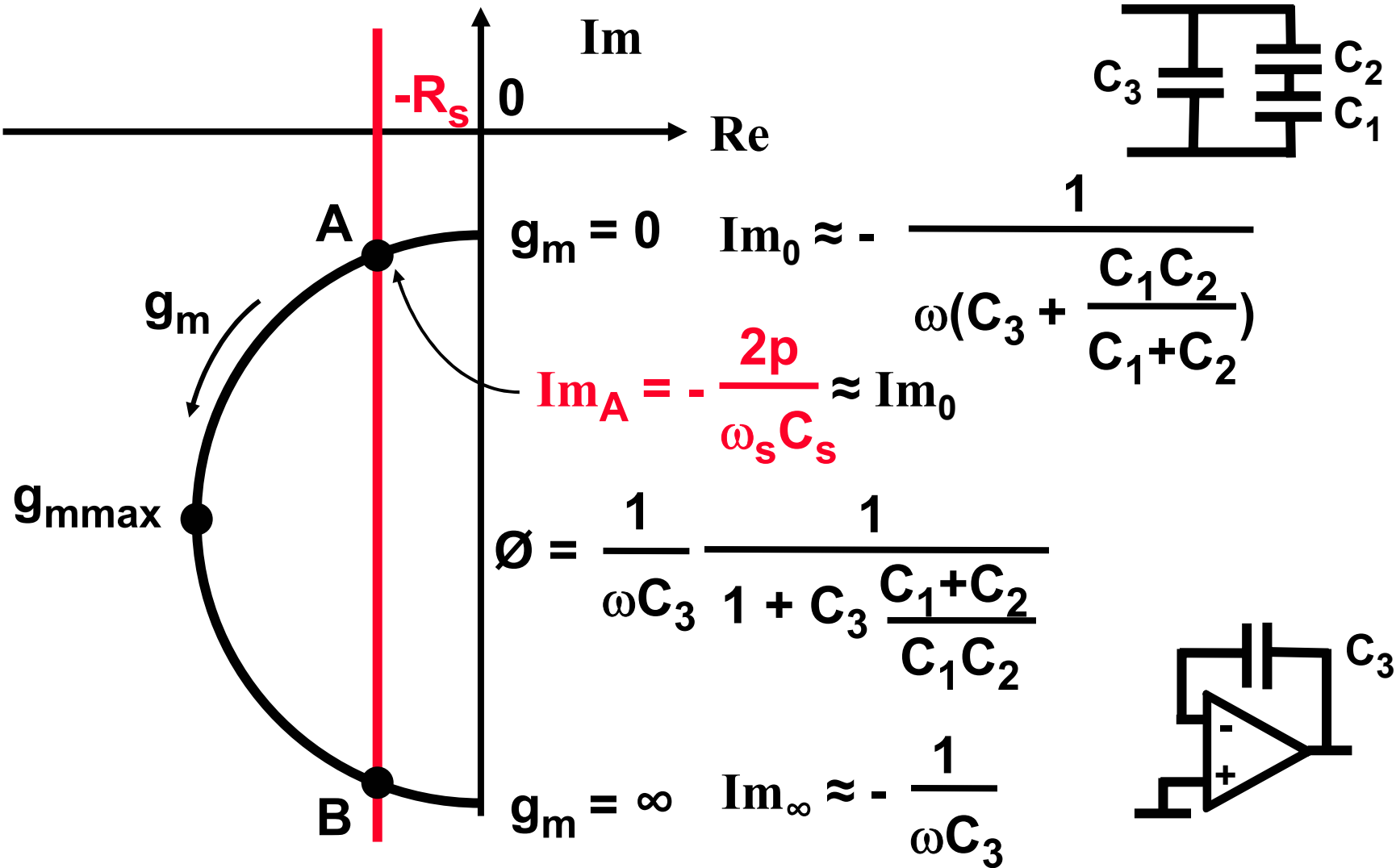
if  $C_3 \ll C_1 = C_2$

For  $g_m \approx 0$      $Z_{c0} \approx 2 / \omega C_1$

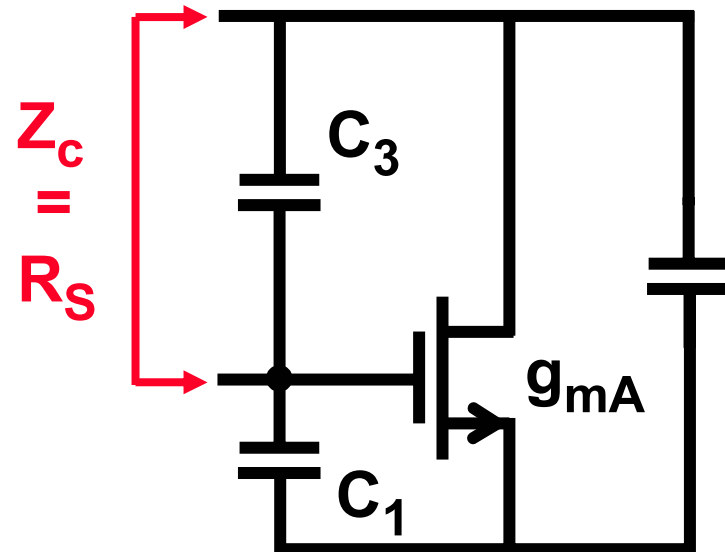
For  $g_m \approx \infty$      $Z_{c\infty} \approx 1 / \omega C_3$



# Complex plane for 3-point oscillator



# Calculation of $g_{mA}$



$$Z_c = \frac{1}{C_3 s} \frac{g_m + (C_1 + C_2)s}{g_m + (C_1 + C_2 + \frac{C_1 C_2}{C_3})s}$$

$$\text{Re}(Z_c) = R_s$$

For small  $g_m$  :  $g_{mA} \approx R_s (C_{\text{eff}} \omega_s)^2$

$$C_{\text{eff}} = C_1 \left(1 + \frac{2C_3}{C_1}\right) \approx C_1$$

Maximum negative resistance is  $1/2\omega C_3$  at  $g_{m\text{max}} = \frac{C_1}{C_3} \omega C_1$